

This form enables you to apply for a corporate client account with us. To make this application you will need some important pieces of information at hand, and the appropriate individuals will need to provide their details and sign the form.

WHO SHOULD SIGN THIS FORM?

On behalf of the applicant

- In the case of a company, two of the directors
- In the case of a partnership, two of the partners

On behalf of the guarantor

- The individual guarantor

WHAT YOU NEED TO KNOW

Before you apply, it is important to read our Domestic Risk Disclosure Notice, International Risk Disclosure Notice, Domestic CFD Customer Agreement, International CFD Customer Agreement, Order Execution Policy, Conflicts Policy, SA Conflicts Policy, Complaints Policy, Statutory FAIS Disclosure, Costs and Charges, Domestic Privacy Notice and Access Policy and International Privacy Notice and Access Policy. You can find them all at <https://www.ig.com/za/terms-and-agreements>

LEI NUMBER (LEGAL ENTITY IDENTIFIER)

An LEI number is your company's unique 20-character code which you or your counterparty will use to identify your financial transactions in accordance with EMIR and MiFID II reporting requirements. This is a mandatory requirement for all entities trading with IG.

LEI Number: _____

CERTIFIED BOARD RESOLUTION

I (Name): _____

Company Secretary/Director of (Name of Company): _____ (the 'Company')

certify that the following resolutions were duly passed by the Directors of the Company at a meeting held on

Date: _____

IT WAS RESOLVED AS FOLLOWS:

1. That account(s) (the 'Account(s)') be opened with IG Markets South Africa Limited and IG Markets Limited ('IG') in the name of the Company for the purpose of entering into Contracts For Difference (CFDs) and any transactions related or ancillary to any of the contracts.
2. That an agreement be entered into with IG in connection with the opening of the Accounts in such form as IG shall require (the 'Agreement') and that all transactions entered into by the Company shall be subject to the terms of the Agreement as amended from time to time.
3. That each of the persons whose names and specimen signatures appear below (the 'Authorised Signatories') shall be and are hereby jointly and severally authorised to sign any document in connection with the opening or operation of the Account(s), including (but without limitation) the Agreement and any document creating, perfecting or relating to any mortgage, charge or encumbrance over the Company's assets and to give any oral or written instructions to us with respect to the Account(s) including (but without limitation) instructions to effect or otherwise enter into transactions with or on behalf of the Company.

(i) Print Name and Title: _____

Specimen Signature: _____

(ii) Print Name and Title: _____

Specimen Signature: _____

Please complete the schedule if there are more than 2 Authorised Signatories.

4. That the Authorised Signatories shall be and are hereby jointly and severally authorised to open (including to sign any document in connection with the opening or operation) of any additional account(s).
5. That any transactions of any description whatsoever previously entered into by the Company with or through IG be and are hereby ratified and approved.
6. That these Resolutions be communicated to IG and shall remain in force and that IG shall be entitled to rely on the same until an amending resolution shall be passed and a copy certified by an officer of the Company shall have been received by IG. I further certify that there is no legal or other reason why the Company should not conduct this business.

Signature of Company Secretary/Director: _____ Date: _____

SUPPORTING DOCUMENTATION:

The following supporting documentation must accompany the Company's completed account Application Form:

- Copy of Certificate of Incorporation (form CM1 for a company or form CK1 or CK2 for a close corporation or CoR documentation)
- Copy of the Memorandum and Articles of Association (as applicable)
- Copy of Notice of registered address (Notices of Registered address can be a CM22 or a certificate of confirmation for a company)
- 3 months bank statements OR latest financial statements (for credit approval purposes and must reflect funds available for trading)
- Recent bank statement confirming the bank details you will be using for funding your account. Your bank statement must show funds you intend to use for trading with IG. If funds are held elsewhere such as a director or shareholder's account, or in a portfolio, then evidence of these will also be required
- Proof of shareholders document. Please note: The shareholders register must show the number of shares authorised and issued by the company and must be stamped by a registered accountant or auditor. The details (name, address and number of shares allocated) of all the shareholders must show on the register
- Proof of directors (CM or CoR documentation) for a company
- Proof of trading address. This should be in the form of a proof of address that contains the physical business address of the company and is not older than 3 months

SUPPORTING DOCUMENTATION (CONTINUED)

- Verification of all the directors signing the application and any shareholder with a holding of 25% or more. For each such individual, we require:
 - A clear copy of your green-barcoded identity document or Smart ID Card
 - Copies of 2 different documents as proof of address (a utility bill or similar document – visit www.ig.com/za/supporting-documents. Each should show your name, a full residential address that matches the address on the application and be dated within the last 3 months
- Corporate shareholders or Directors
 - A complete list of supporting documentation for each Company as listed above
 - Verification of directors and shareholders with 25% or greater stake as above

If you are interested in trading US stocks additional documents will be required. For more information, visit our website at: <https://www.ig.com/za/us-stocks>. Please note trading US stocks will incur additional charges on your account. For more details, contact IG.

COMPANY INFORMATION:

01 | COMPANY DETAILS:

Name of company: _____ Country: _____

Registration Number: _____ Trading address: _____ Same as Registered address

Income Tax Reference Number: _____

Registered address: _____

_____ Postcode: _____ _____ Postcode: _____

Nature of Business: _____

Total value of assets under management: R _____

REGULATION

Is the Company FSCA regulated or similar regulator? Yes No

If 'Yes' please state the name of the regulator and regulatory number (eg FSP No.):

02 | CONTACT INFORMATION

Telephone (office hours): _____ Email: _____

03 | BANK DETAILS

Name of bank: _____ Account Name: _____

Branch Name: _____ Account No./IBAN: _____

Branch Code/ Sort code/ BIC: _____ Approx. funds available to the Company's trading with us: R: _____

04 | CONFIRMATION OF TAX RESIDENCE

Please confirm the tax residence(s) of the Corporate below:

4.1. Is the company registered to pay tax in South Africa only? Yes No

If 'Yes' then ignore section 4.2 and proceed to section 05

04 | CONFIRMATION OF TAX RESIDENCE (CONTINUED)

4.2. Complete the below section if the company is registered in the US, UK Crown Dependencies and Gibraltar.

JURISDICTION	TAX IDENTIFICATION NUMBER (TIN)**	IF TIN UNAVAILABLE, PLEASE SPECIFY REASON

** Please only provide Tax Identification Number(s) where the Corporate is resident in the US, UK Crown Dependencies and Gibraltar.

Is the Company a Financial Institution? Yes No

If 'Other', please specify: _____

Financial Institution – Financial Institutions include depository and custodial institutions. For further information, please refer to section 2.1 of HMRC guidance

<http://www.hmrc.gov.uk/drafts/uk-us-fatca-guidance-notes.pdf>

05 | IDENTIFICATION OF DIRECTOR(S)

We will verify a Director's identity before trading can occur on the account.

SOLE DIRECTOR/DIRECTOR 1:

Full name: _____

Residential address: _____

Postcode: _____

Date of birth: _____

DIRECTOR 2:

Full name: _____

Residential address: _____

Postcode: _____

Date of birth: _____

1. Are any Directors currently or previously bankrupt? Yes No

If the answer is 'Yes', please give details:

06 | IDENTIFICATION OF BENEFICIAL OWNERS

We need to verify the identity of all beneficial owners shareholders/holding 25% or more shares in the company before the account can be opened

Beneficial Owner 1: _____ Title (if applicable): _____ Name of Individual/Company: _____ Current address: _____ _____ Postcode: _____ Date of birth: __ / __ / ____
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Beneficial Owner 2: _____ Title (if applicable): _____ Name of Individual/Company: _____ Current address: _____ _____ Postcode: _____ Date of birth: __ / __ / ____
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06 | IDENTIFICATION OF BENEFICIAL OWNERS (CONTINUED)

Beneficial Owner 3: _____

Title (if applicable): _____

Name of Individual/Company: _____

Current address: _____

_____ Postcode: _____

Date of birth: __ / __ / ____

Beneficial Owner 4: _____

Title (if applicable): _____

Name of Individual/Company: _____

Current address: _____

_____ Postcode: _____

Date of birth: __ / __ / ____

07 | STATEMENT INSTRUCTIONS

In the absence of other instructions from you, we will send statements by email.

If you would prefer to receive these by post, please tick this box.

Please note that postal statements will incur a charge of £5 per statement.

KNOWLEDGE AND EXPERIENCE

The law requires us to assess whether our services are appropriate for the Company. We will use the information below to make this assessment. If there are any issues, we will contact you to discuss further options.

1. Over the last three years, how many times has the Company traded the following products?

Shares and/or bonds More than 25 times 10 to 20 times Less than 10 times

Exchange-traded derivatives (eg warrants, futures or options) More than 25 times 10 to 20 times Less than 10 times

OTC derivatives (eg CFDs, forex) More than 25 times 10 to 20 times Less than 10 times

2. How has the Company mostly traded these products? Execution-only and/or Advisory Managed Neither

3. Do the officer(s) of the Company who will be making the Company's trading decisions have particular experience or qualifications which would assist the Company's understanding of our services? Yes No

If **'Yes'**, please tick as applicable: Experience: the officer(s) have a good knowledge of OTC, leveraged derivatives through working in the financial sector

Experience: the officer(s) have a good knowledge of OTC, leveraged derivatives through working in the financial sector

Qualifications: the officer(s) have a good knowledge of OTC, leveraged derivatives because of a relevant professional qualification and/education

Optional:

4. Please provide us with any further information about the Company's knowledge and experience that will help us assess whether our services are appropriate for the Company:

MARKETING CONSENT

I consent on behalf of the Company to receive electronic communications from IG and IG Group companies about trading ideas, products and services.

Yes No

DECLARATIONS

CFDs are complex instruments and come with a high risk of losing money rapidly due to leverage. 71% of retail investor accounts lose money when trading CFDs with this provider. You should consider whether you understand how CFDs work, and whether you can afford to take the high risk of losing your money. Clients can lose more than they deposit. All trading involves risk.

- **Risks:** I confirm that the Company understands the nature and risks of margin trading via CFDs and has read both the South African Risk Disclosure Notice (available at IG.com) for domestic (onshore) accounts and the UK Risk Disclosure Notice (available at IG.com) for international (offshore) accounts.
- **Terms and Conditions:** I confirm that the Company has read, understands and agrees to be bound by the following terms and conditions and I consent to such terms and conditions being provided to the Company by way of IG's websites:
 - (a) South African Margin Trading Customer Agreement and SA Summary Conflicts Policy (available at IG.com/za), which will govern the operation of the Company's domestic (onshore) accounts; and
 - (b) UK Margin Trading Customer Agreement, Summary Order Execution Policy, Summary Conflicts Policy and IGMSA Statutory FAIS Disclosures (available at IG.com), which will govern the operation of the Company's international (offshore) accounts.
- **Privacy Notice and Access Policy:** For more information on how we use your data, see IG's South African Privacy Notice and Access Policy and UK Privacy Notice and Access Policy which are available at <https://www.ig.com/za/privacy>.
- **Declaration of truth:** Finally, I confirm that the information provided by me in this form on behalf of the Company is true and correct. Please tick if the board also resolved to authorise the Directors/Partners listed herein to open additional accounts with IG on behalf of the Company.
- **Consent to credit references:** I confirm that the Company consents to you making enquiries to confirm or validate any of the information provided hereunder by the Company, including by contacting, requesting and obtaining credit information from any credit agency for the purposes of completing a credit report on the Company. I further confirm that the Company and its Directors consent to you contacting, requesting and obtaining credit information from any credit agency and any other party for any purpose including to verify the identity of the Company's Directors and to assess their behaviour, profile, payment patterns, indebtedness, whereabouts and creditworthiness or risk associated with doing business with them, in any format available from the credit agency and to continuously access such information from the credit agency for as long as the Company has a relationship with you and for 24 months thereafter. I further confirm that the Company consents to you completing an account verification check to confirm the accuracy of the Company's banking details provided hereunder, and also to you submitting its information, including payment profile and default information and any other relevant information, to any credit agency and for such credit agency to release the information for lawful purposes to third parties.

Specimen Signature: _____ Date: _____

Specimen Signature: _____ Date: _____

DECLARATIONS (CONTINUED)

In the case of a Company two authorised signatories are required as well as a Certified Board Resolution. In the case of a Partnership two of the Partners must sign. In case of a Trust two authorised signatories must sign and a Declaration by the Trustees must be completed.

Where the application has been signed electronically, please acknowledge the below declarations by ticking below (both are required):

1. On behalf of the party to the document provided to IG, I confirm that there is no reason why this party cannot enter into this document with IG by attaching the electronic signature(s) that have been attached.
2. I undertake, on behalf of the party to the document provided to IG, that this party shall perform all further steps required (if any) to validate the signing of these documents upon request by IG and that this party shall comply with the terms and conditions of the agreement and comply with its obligations in full as if it is a validly binding document.

*Are your trades designed to reduce risks directly related to the commercial activity or treasury financing activity

DEED OF GUARANTEE AND INDEMNITY IN FAVOUR OF IG

GUARANTEE IN FAVOUR OF

IG Markets Limited, IG Index Limited and any other subsidiary* of the IG Group of which IG Group Holdings plc of Cannon Bridge House, 25 Dowgate Hill, London EC4R 2YA is the holding Company* ('IG').

*Such words have the same meaning as are given to those terms in the Companies Act 2006.

GUARANTEE FROM

Name of Guarantor: _____

Address of Guarantor: _____ (the 'Guarantor')

Postcode: _____

BACKGROUND

- (a) _____ (name of Company) (the 'Customer') has opened an account or accounts with IG (the '**Account(s)**') in order to enter into any or all of: (1) contracts for difference; (2) futures and options; (3) spread bets; or (4) any other transaction (each called 'Transactions') pursuant to the terms and conditions of the relevant IG Customer Agreement (the '**Customer Agreement**').
- (b) When the Customer enters into such Transactions it will incur liabilities and obligations to IG pursuant to the terms of the Customer Agreement.
- (c) IG has agreed to allow the Customer to open the Account(s) on the condition that the Guarantor agrees to guarantee the due performance of the Customer's obligations arising under the Customer Agreement and the due payment of any amounts owed to IG by the Customer in accordance with the terms of this deed.

GUARANTEE AND INDEMNITY

The Guarantor(s) agrees as follows:

1. I/we unconditionally and irrevocably guarantee the due and prompt payment of all monies and liabilities that become due and payable by the Customer under the Customer Agreement and the due and punctual performance and observance of all other obligations of the Customer under the Customer Agreement. If the Customer fails, for any reason, to pay any amount guaranteed above when due, I/we shall, as principal obligor, pay that amount to IG on receiving its first written demand and I/we further agree to indemnify IG and keep indemnified IG against all loss and damage incurred by IG by reason of the failure of the Customer to fulfil all its obligations under the Customer Agreement.
2. A certificate in writing signed by IG or on IG's behalf stating the amount at any particular time due and payable by me/us hereunder shall be conclusive evidence of such payment obligation, save in the case of manifest error by IG.
3. My/our liability under this Deed will only be discharged by the receipt by IG of payment of monies owed under this Deed in full. It will not be discharged by any other action, omission or fact and therefore shall not be affected by:
 - 3.1. IG giving time or any other indulgence or forbearance to the Customer;
 - 3.2. the winding-up or re-organisation of the Customer;
 - 3.3. the Customer acting or purporting to act beyond the power conferred upon it by its Replaceable Rules, Constitution or Memorandum and Articles of Association (as applicable) or without the power or authority of its Board of Directors or otherwise by reason of any want of power to enter into any Contract for Difference with IG, or
 - 3.4. any amendment from time to time to the Customer Agreement according to its terms and tenor or to the IG Markets Limited Product Disclosure Statement

GUARANTEE AND INDEMNITY (CONTINUED)

4. Any receipt from any person other than me/us will reduce the outstanding balance only to the extent of the amount received.
5. Before enforcing this Deed IG shall not be obliged to take any action or obtain any judgment, nor make or file any claim in the bankruptcy, dissolution or winding up of the Customer.
6. I/we agree that in any litigation relating to this Deed, the aforesaid obligations or any security therefore, I/we shall waive the right to interpose any defence based upon any statute of limitations or any claim of laches or set-off or counter-claim of any nature.
7. I/we acknowledge that I/we have not relied on any warranty or representation (whether oral or otherwise) made by or on behalf of IG to induce me/us to enter this Deed and that I/we have made and will continue to make, without reliance on IG, my/our own independent investigation of the financial condition and affairs of the Customer and assessment of the creditworthiness of the Customer and further acknowledge that IG has no present or future duty or responsibility to provide me/us with any information relating to the financial condition or affairs of the Customer.
8. No failure or delay by IG in exercising any right or remedy shall operate as a waiver thereof nor shall any single or partial exercise of waiver of any right or remedy produce its further exercise or the exercise of any other right or remedy.
9. I/we agree to provide IG with such information and copies of such

EXECUTED AS A DEED

THE GUARANTOR

This deed is signed by the Guarantor:

Name: _____

Signature: _____ Date: _____

IN THE PRESENCE OF:

Name of witness: _____

Address: _____

_____ Postcode: _____

Signature: _____ Date: _____

IG MARKETS SOUTH AFRICA LIMITED The Marc, Tower 2, 129 Rivonia Road, Gauteng, 2196, South Africa **T** +27 (0)10 344 0051 **E** helpdesk.za@ig.com **W** IG.com

IG MARKETS LIMITED Cannon Bridge House, 25 Dowgate Hill, London EC4R 2YA **T** 0800 195 8009 or +44 20 7633 5320 **E** helpdesk.uk@ig.com **W** IG.com



Once you have completed your details, please:

- Print this form
- Sign it
- Return it to us

