

PERSONAL INFORMATION

Please complete all details. Our regulator requires that we obtain this information from you, and we are unable to open your account without it.

01 | PERSONAL DETAILS

Surname: _____ Country: _____

Forenames: _____ Time at current address (years/months): _____

Title (Mr/Mrs/Ms/Other): _____ Date of birth: _____ Previous address if less than three years: _____

Permanent residential address: _____

Postcode: _____ Postcode: _____

The country or countries where you are a tax resident: _____

List of nationality (s): _____

02 | TAX DETAILS

Please could you confirm:

The country or countries where you are a tax resident: _____

Whether you are a US citizen? Yes No

A US citizen has been defined by the IRS as: an individual born in the United States; an individual born in Puerto Rico, Guam or the US Virgin Islands; a former alien who has been naturalised as a US citizen or an individual whose parent is a US citizen. For further information please visit www.irs.gov/Individuals/International-Taxpayers/Foreign-Persons

Your taxpayer identification number (TIN) if you are a US citizen or a tax resident in the US, the UK Crown Dependencies (Jersey, Guernsey, and the Isle of Man), or Gibraltar: _____

03 | CONTACT DETAILS

Main contact number (mandatory): _____ Email address (mandatory): _____

04 | EMPLOYMENT DETAILS

Employment status: Employed Self-Employed Retired Unemployed

Occupation: _____ Industry: _____

05 | FINANCIAL DETAILS

Approx. annual income before tax: £ _____

Approx. value of savings and investments (excluding property): £ _____

Source of funds used for your trading with IG Trading and Investments Limited: Employment Inheritance Investment Other (please specify)

Other: _____

06 | STATEMENT INSTRUCTIONS

In the absence of other instructions from you, we will send statements by email. If you would prefer to receive these by post, please tick this box:
Please note that postal statements will incur a charge of £1 per statement.

YOUR KNOWLEDGE AND EXPERIENCE

Please complete the following section so we can assess the appropriateness of our services for you. Please note that we are required to make this assessment by law. It may be that we do not consider the account type you have selected to be appropriate for you. If this is the case, we will contact you to discuss further options.

1. To what extent over the past 3 years have you traded the following?

Shares and/or bonds More than 20 times 10 to 20 times Less than 10 times

Exchange-traded derivatives
(eg warrants, futures, options, or commodities) More than 20 times 10 to 20 times Less than 10 times

OTC derivatives (eg CFDs, spread betting, forex, binaries) More than 20 times 10 to 20 times Less than 10 times

2. How have you mostly traded these products? Execution-only and/or Advisory Managed

3. Do you have particular experience or qualifications which would assist your understanding of our services? Yes No

If yes:

Occupational experience: I have a good knowledge of OTC, leveraged derivatives through working in the financial sector

Qualifications: I have a good knowledge of OTC, leveraged derivatives because of a relevant professional qualification and/or my education or relevant training

DECLARATIONS

I confirm that I understand:

- the nature and risks of buying, selling and investing in shares, exchange traded funds and exchange traded commodities ('Instruments')
- I consent to the provision to me of the Risk Disclosure Notice, Share Dealing Customer Agreement by way of the IG website and I hereby confirm that I have read and understood these documents and I agree to be bound by their terms.
- I also agree to IG's Privacy Policy.
- I confirm that I will notify IG immediately of any changes to the information provided.

Signature: _____ Date: _____

ALL APPLICATIONS MUST BE ACCOMPANIED BY:

- A PHOTOCOPY OF YOUR PASSPORT, DRIVING LICENCE OR NATIONAL ID CARD (WHICH MUST CONTAIN A PHOTO);
- A COPY OF A RECENT UTILITY BILL (NOT MOBILE PHONE).

We may need to contact you for further information/documentation.



Once you have completed your details, please:

- Print this form
- Sign it
- Return it to us

IG TRADING AND INVESTMENTS LIMITED

Cannon Bridge House, 25 Dowgate Hill, London EC4R 2YA
T 0800 195 6300 or +44 20 7633 5555 F +44 20 7896 0010 E helpdesk.uk@ig.com W IG.com

IG Trading and Investments Limited, Application to Open a Stockbroking Account (Personal),
September 2023

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