

WHAT'S THIS DOCUMENT FOR?

This document will show you the costs and charges associated with our US Options and Futures account product. It's important to remember that your total costs will increase proportionate to your trading sizes and volumes

COMMISSIONS & FEES: US OPTIONS AND FUTURES ACCOUNTS

Options	\$1.00 / contract to open \$0.00 commission to close All stock options trades incur a clearing fee of \$0.10 per contract + regulatory fee. ^{1,4} Equity option commission capped at \$10 per leg. ³
Stock (including fractional shares)	\$0.00 commission to open \$0.00 commission to close All stock trades incur a clearing fee of \$0.0008 per share + regulatory fee. ^{2,4} All fractional shares incur a clearing fee of \$0.10 per transaction
Options on Futures	\$1.25 / contract to open \$1.25 / contract to close All futures options trades incur a clearing fee of \$0.30 per contract + exchange fees + NFA fee.
Options on Micro Futures	\$0.75 / contract to open \$0.75 commission to close All futures options trades incur a clearing fee of \$0.30 per contract + exchange fees + NFA fee.
Futures	\$1.00 / contract to open \$1.00 / contract to close All futures options trades incur a clearing fee of \$0.30 per contract + exchange fees + NFA fee.
Micro Futures	\$0.75 / contract to open \$0.75 / contract to close All futures options trades incur a clearing fee of \$0.30 per contract + exchange fees + NFA fee.
Broad-based Index Options	\$1.00 / contract to open \$0.00 commission to close All broad-based index options trades incur a clearing fee of \$0.10 per contract + regulatory fee ^{1,4} + Single-Listed Exchange Proprietary Index Options Fee (see table below).

COMMISSIONS & FEES: US OPTIONS AND FUTURES ACCOUNTS (CONTINUED)

Single-Listed Exchange Proprietary Index Options Fees:

<u>Symbol</u>	<u>Description</u>	<u>Fee Per Contract</u>
SPX	S&P 500 Index	\$0.65
RUT	Russell 2000 Index	\$0.18
VIX	CBOE Market Volatility Index	\$0.65
OEX	S&P 100 Index (American-style exercise)	\$0.40
XEO	S&P 100 Index (European-style exercise)	\$0.40
DJX	Dow Jones Industrial Average Index 1/100	\$0.18
XSP	Mini-SPX Index ⁷	\$0.00/\$0.07

MARGIN INTEREST RATES: US OPTIONS AND FUTURES ACCOUNTS

Margin Interest Rates

Debit Balance	Rate	Base Rate +/-
\$0 - \$24,999	11.00%	Base Rate + 1.00%
\$25,000 - \$49,999	10.50%	Base Rate + 0.50%
\$50,000 - \$99,999	10.00%	Base Rate
\$100,000 - \$249,999	9.50%	Base Rate - 0.50%
\$250,000 - \$499,999	9.00%	Base Rate - 1.00%
\$500,000 - \$999,999	8.50%	Base Rate - 1.50%
\$1,000,000 +	8.00%	Base Rate - 2.00%

*Where you hold more than one Margin account, all margin interest charges arising from activity on your accounts will be debited from your primary account (the first account you opened with us). If you wish to nominate a different account, please contact customer services.

Base Rate = 10% as of 01.06.2024

FOREIGN EXCHANGE CONVERSION

FX conversion fee

With US-listed options and futures, you're trading in US dollars, which means a currency conversion fee of 0.5% applies if you deposit or withdraw funds in a currency other than USD.

FEES: US OPTIONS AND FUTURES ACCOUNTS

Banking Fees

Deposits & Withdrawals	FREE
Returned Check / Wire / ACH / Recall	\$30
Check Copies	\$15
Stop Payment on Apex Issued Checks	\$30
Outgoing ACAT Transfers	\$75
Transfer and Ship Certificate	\$235
Certificate Processing	\$300 minimum
Certificate Processing Return	\$100 minimum
Restricted Stock Processing	\$205
Prepayment Fee	Greater of 0.2% or \$20
Incoming & Outgoing DRS transfers	\$115 per symbol

Trade-Related Fees

Option Exercise / Assignment	\$5
Options Regulatory Fee	\$0.02685 / contract
FINRA TAF ⁴ - Stock Sales	\$0.000166 / share
FINRA TAF ⁴ - Option Sales	\$0.00279 / contract
SEC Fee ⁵	\$27.80 / \$1,000,000 in sales
Clearing Fees - Equities	\$0.0008 / share
Clearing Fees - Options	\$0.10 / contract
Clearing Fees - Futures	\$0.30 / contract
Clearing Fees - Warrants	\$20 / transaction
Clearing Fees - Fractional Shares	\$0.10 / transaction
NFA Fee	\$0.02 / contract
Voluntary Corporate Actions (Reorg/Tender Offers)	\$50
Warrant Exercise Fee	\$50 / instruction
Treasury Bill Fee	\$25 / transaction
Paper Confirms	\$2 / confirm

Miscellaneous Fees

Overnight International Mail	\$100
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If you have any questions in relation to the costs and charges listed above, please send an email to customer support at helpdesk.uk@ig.com.

1. Options exchanges assess an Options Regulatory Fee (referred to as the "ORF fee") on options transactions executed by its member that clear in the customer range at Options Clearing Corporation ("OCC") regardless of the marketplace of execution. The effective rate of the ORF fee is per contract with no minimum per trade. The total regulatory charge will be rounded up to the nearest penny. Please note Exchange Fees are subject to change.
2. Per share fee charges may apply. Customers may pay additional market-related expenses incurred in connection with orders or related customer accounts, including cancellation fees, product specific fees, regulatory fees, and expenses associated with Make/Take fees.
3. Applicable exchange, clearing, and regulatory fees still apply to all opening and closing trades.
4. FINRA levies a Trading Activity Fee (TAF) for sales covered equity securities that tastytrade passes through to you. The FINRA TAF for stock is currently \$0.000166 per share with a per-transaction cap of \$8.30. The FINRA TAF for options sales is currently \$0.00279 per contract.
5. When you sell an equity or an option, a regulatory fee is included on your confirmation. IG Markets Limited is required to pay this fee to the applicable regulatory agency based on the volume of securities sold. As of May 20, 2024, the sale of equity securities is \$27.80 for every \$1,000,000 in sales. tastytrade reserves the right to pass-through any additional regulatory or exchange transaction fees.
6. When trading American Depositary Receipts (ADR), fees may apply and are passed through.
7. XSP contract fees are as follows \$0.00 for less than 10 contracts per leg and \$0.07 for 10 contracts per leg or more.