



# APPLICATION TO OPEN A FINANCIAL SPREAD BETTING ACCOUNT (PERSONAL)

## PERSONAL INFORMATION

Please complete all details. Our regulator requires that we obtain this information from you, and we are unable to open your account without it.

### 01 | PERSONAL DETAILS

Surname: \_\_\_\_\_

Forenames: \_\_\_\_\_ Time at current address (years/months): \_\_\_\_\_

Title (Mr/Mrs/Ms/Other): \_\_\_\_\_ Date of birth: \_\_\_\_\_ Previous address if less than three years: \_\_\_\_\_

Home address: \_\_\_\_\_

\_\_\_\_\_ Postcode: \_\_\_\_\_

### 02 | CONTACT NUMBERS

Main contact number (mandatory): \_\_\_\_\_ Email address (mandatory): \_\_\_\_\_

### 03 | TAX STATUS

#### Please could you confirm:

The country or countries where you are a tax resident: \_\_\_\_\_

Whether you are a US citizen? ☐ Yes ☐ No

A US citizen has been defined by the IRS as: an individual born in the United States; an individual born in Puerto Rico, Guam or the US Virgin Islands; a former alien who has been naturalised as a US citizen or an individual whose parent is a US citizen. For further information please visit [www.irs.gov/Individuals/International-Taxpayers/Foreign-Persons](http://www.irs.gov/Individuals/International-Taxpayers/Foreign-Persons)

Your taxpayer identification number (TIN) if you are a US citizen or a tax resident in the US, the UK Crown Dependencies (Jersey, Guernsey, and the Isle of Man), or Gibraltar: \_\_\_\_\_

### 04 | EMPLOYMENT DETAILS

Employment Status: ☐ Employed ☐ Self-Employed ☐ Retired ☐ Unemployed

Occupation: \_\_\_\_\_ Industry: \_\_\_\_\_

### 05 | FINANCIAL DETAILS

Approx. annual income before tax: £ \_\_\_\_\_

Approx. value of savings and investments (excluding property): £ \_\_\_\_\_

Source of funds used for your trading with IG Index Limited: ☐ Employment ☐ Inheritance ☐ Investment ☐ Other (please specify)

Other: \_\_\_\_\_

### 06 | STATEMENT INSTRUCTIONS

In the absence of other instructions from you, we will send statements by email. If you would prefer to receive these by post, please tick this box: ☐

Please note that postal statements will incur a charge of £1 per statement.

## YOUR KNOWLEDGE AND EXPERIENCE

Please complete the following section so we can assess the appropriateness of our services for you. Please note that we are required to make this assessment by law. It may be that we do not consider the account type you have selected to be appropriate for you. If this is the case, we will contact you to discuss further options.

1. To what extent over the past 3 years have you traded the following?

Shares and/or bonds

☐ Frequently

☐ Sometimes

☐ Rarely/never

Exchange-traded derivatives (e.g. warrants, futures or options)

☐ Frequently

☐ Sometimes

☐ Rarely/never

OTC derivatives (e.g. CFDs, spread betting, forex, binaries)

☐ Frequently

☐ Sometimes

☐ Rarely/never

2. How have you mostly traded these products?

☐ Execution-only and/or Advisory

☐ Managed

3. Do you have particular experience or qualifications which would assist your understanding of our services?

☐ Yes

☐ No

If yes:

Occupational experience: I have a good knowledge of OTC, leveraged derivatives through working in the financial sector

☐

Qualifications: I have a good knowledge of OTC, leveraged derivatives because of a relevant professional qualification and/or because of my education or relevant training

☐

## DECLARATIONS

I understand the nature and risks of spread betting. I consent to the provision to me of the following information by way of a website: the Risk Disclosure Notice, the Spread Betting Customer Agreement, the Summary Order Execution Policy and the Summary Conflicts Policy and I hereby confirm that I have read, understood and agree to be bound by the terms of these documents. Finally, I confirm that I am aged 18 years or over and that the information provided by me in this form is true and correct.

 Signature: \_\_\_\_\_ Date: \_\_\_\_\_

### ALL APPLICATIONS MUST BE ACCOMPANIED BY:

- A PHOTOCOPY OF YOUR PASSPORT, DRIVING LICENCE OR NATIONAL ID CARD (WHICH MUST CONTAIN A PHOTO);
- A COPY OF A RECENT UTILITY BILL (NOT MOBILE PHONE).

We may need to contact you for further information/documentation



Once you have completed your details, please:

☒ Print this form

☒ Sign it

☒ Return it to us