

Sie müssen dieses Formular ausfüllen und zurücksenden, wenn Sie auf Ihrem Aktienhandelskonto mit Aktien handeln möchten, die in den USA notiert sind. Für Dividenden und Zinsen auf US-Aktien erhalten Sie außerdem ermäßigte Steuersätze. Bitte beachten Sie Folgendes: Ihr W-8BEN-Formular ist nach dem Jahr der Unterzeichnung für drei Kalenderjahre gültig. Wir werden Sie kontaktieren, wenn Sie das Formular erneut ausfüllen müssen.

- Sie können das Formular auf Ihrem Computer oder von Hand mit schwarzem/blauem Kugelschreiber ausfüllen. Bitte achten Sie darauf, das Formular zu unterschreiben. Senden Sie es dann per Post oder E-Mail an eine der nachfolgend genannten Adressen.
- Wenn Sie einen Fehler machen, berichtigen Sie diesen entweder und zeichnen Sie die Berichtigung mit Ihren Initialen ab, oder verwenden Sie ein neues Formular.
- Bitte achten Sie darauf, dass das W-8BEN-Formular auf einem eigenen leeren Blatt ausgedruckt wird. Formulare mit bedruckter Rückseite (einschließlich dieser Seite) werden nicht angenommen.

Bitte senden Sie Ihr W-8BEN-Formular per E-Mail an [anfragen@ig.com](mailto:anfragen@ig.com) oder per Post an:

**IG**  
**Kontoeröffnung**  
 Berliner Allee 10  
 D-40212 Düsseldorf

1. Ihr vollständiger Name (d. h. der Name, auf den Ihr IG-Konto lautet)

3. Die Adresse Ihres Wohnsitzes. Verwenden Sie kein Postfach, keine c/o- bzw. p. A.- oder Firmenadresse, und denken Sie daran, den Namen des Landes nicht abzukürzen.

4. Kann frei gelassen werden, wenn Ihre Postanschrift mit der Adresse Ihres Wohnsitzes übereinstimmt.

5. Kann frei gelassen werden, wenn Sie keine Steueridentifikationsnummer (TIN) in den USA haben.

7. Geben Sie die Kontonummer Ihres IG-Aktienhandelskontos an. Sie finden diese im Abschnitt „Mein Konto“ auf unserer Plattform.

Unterzeichnen Sie das Formular. Wir können keine elektronischen Signaturen oder Formulare ohne Unterschrift annehmen.

Geben Sie Ihren vollständigen Namen unten in Druckschrift ein. Lassen Sie „Capacity in which acting“ (die Eigenschaft, in der Sie handeln) frei.

<b>Form W-8BEN</b>		<b>Certificate of Foreign Status of Beneficial Owner for United States Tax Withholding and Reporting (Individuals)</b>	OMB No. 1545-1621
(Rev. February 2014) Department of the Treasury Internal Revenue Service		▶ For use by individuals. Entities must use Form W-8BEN-E. ▶ Information about Form W-8BEN and its separate instructions is at <a href="http://www.irs.gov/formw8ben">www.irs.gov/formw8ben</a> . ▶ Give this form to the withholding agent or payer. Do not send to the IRS.	
<p><b>Do NOT use this form if:</b></p> <ul style="list-style-type: none"> <li>• You are NOT an individual . . . . . W-8BEN-E</li> <li>• You are a U.S. citizen or other U.S. person, including a resident alien individual . . . . . W-9</li> <li>• You are a beneficial owner claiming that income is effectively connected with the conduct of trade or business within the U.S. (other than personal services) . . . . . W-8ECI</li> <li>• You are a beneficial owner who is receiving compensation for personal services performed in the United States . . . . . 8233 or W-4</li> <li>• A person acting as an intermediary . . . . . W-8IMY</li> </ul>			
<p><b>Part I Identification of Beneficial Owner</b> (see instructions)</p> <p>1 Name of individual who is the beneficial owner  <span style="border: 1px solid red; padding: 2px;">Mr. Joe Bloggs</span></p> <p>2 Country of citizenship  <span style="border: 1px solid red; padding: 2px;">United Kingdom</span></p> <p>3 Permanent residence address (street, apt. or suite no., or rural route). <b>Do not use a P.O. box or in-care-of address.</b>  <span style="border: 1px solid red; padding: 2px;">123 Fake Street</span></p> <p>City or town, state or province. Include postal code where appropriate.  <span style="border: 1px solid red; padding: 2px;">Example City, AB12 3CD</span> Country  <span style="border: 1px solid red; padding: 2px;">United Kingdom</span></p> <p>4 Mailing address (if different from above)</p> <p>City or town, state or province. Include postal code where appropriate. Country</p> <p>5 U.S. taxpayer identification number (SSN or ITIN), if required (see instructions)</p> <p>6 Foreign tax identifying number (see instructions)</p> <p>7 Reference number(s) (see instructions)  <span style="border: 1px solid red; padding: 2px;">Account number: 1234567</span></p> <p>8 Date of birth (MM-DD-YYYY) (see instructions)  <span style="border: 1px solid red; padding: 2px;">12/25/1980</span></p> <p><b>Part II Claim of Tax Treaty Benefits</b> (for chapter 3 purposes only) (see instructions)</p> <p>9 I certify that the beneficial owner is a resident of <span style="border: 1px solid red; padding: 2px;">United Kingdom</span> within the meaning of the income tax treaty between the United States and that country.</p> <p>10 <b>Special rates and conditions</b> (if applicable—see instructions): The beneficial owner is claiming the provisions of Article _____ of the treaty identified on line 9 above to claim a _____ % rate of withholding on (specify type of income): _____        Explain the reasons the beneficial owner meets the terms of the treaty article: _____</p> <p><b>Part III Certification</b></p> <p>Under penalties of perjury, I declare that I have examined the information on this form and to the best of my knowledge and belief it is true, correct, and complete. I further certify under penalties of perjury that:</p> <ul style="list-style-type: none"> <li>• I am the individual that is the beneficial owner (or am authorized to sign for the individual that is the beneficial owner) of all the income to which this form relates or am using this form to document myself as an individual that is an owner or account holder of a foreign financial institution,</li> <li>• The person named on line 1 of this form is not a U.S. person,</li> <li>• The income to which this form relates is:           <ul style="list-style-type: none"> <li>(a) not effectively connected with the conduct of a trade or business in the United States,</li> <li>(b) effectively connected but is not subject to tax under an applicable income tax treaty, or</li> <li>(c) the partner's share of a partnership's effectively connected income.</li> </ul> </li> <li>• The person named on line 1 of this form is a resident of the treaty country listed on line 9 of the form (if any) within the meaning of the income tax treaty between the United States and that country, and</li> <li>• For broker transactions or barter exchanges, the beneficial owner is an exempt foreign person as defined in the instructions.</li> </ul> <p>Furthermore, I authorize this form to be provided to any withholding agent that has control, receipt, or custody of the income of which I am the beneficial owner or any withholding agent that can disburse or make payments of the income of which I am the beneficial owner. <b>I agree that I will submit a new form within 30 days if any certification made on this form becomes incorrect.</b></p> <p><b>Sign Here</b> ▶ <span style="border: 1px solid red; padding: 2px;">JBloggs</span></p> <p>Signature of beneficial owner (or individual authorized to sign for beneficial owner) Date (MM-DD-YYYY)</p> <p><span style="border: 1px solid red; padding: 2px;">Mr. Joe Bloggs</span></p> <p>Print name of signer Capacity in which acting (if form is not signed by beneficial owner)</p> <p style="font-size: x-small;">For Paperwork Reduction Act Notice, see separate instructions. Cat. No. 25047Z Form <b>W-8BEN</b> (Rev. 2-2014)</p>			

2. Das Land, in dem Sie Ihre Staatsbürgerschaft haben. Verwenden Sie keine Abkürzungen. Schreiben Sie beispielsweise „Deutschland“ statt „D“ oder „BRD“.

6. Optional. Wenn Sie eine britische Sozialversicherungsnummer (National Insurance Number, NIN) haben, können Sie diese hier eintragen. Anderenfalls können Sie dieses Feld frei lassen.

8. Optional. Bitte geben Sie Ihr Geburtsdatum im US-amerikanischen Format ein: MM-TT-JJJJ.

9. Geben Sie das Land Ihres Wohnsitzes in vollständig ausgeschriebener Form ein. Verwenden Sie keine Abkürzungen. Schreiben Sie beispielsweise „Deutschland“ statt „D“ oder „BRD“.

Geben Sie das Datum im US-amerikanischen Format ein: MM-TT-JJJJ.

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 E [kundenberatung.de@ig.com](mailto:kundenberatung.de@ig.com) W [ig.com](http://ig.com)



- Verfahren Sie mit dem ausgefüllten Formular wie folgt:**
- ☑ Drucken Sie dieses Formular aus.
  - ☑ Unterschreiben Sie es.
  - ☑ Senden Sie es an uns zurück.

# Certificate of Foreign Status of Beneficial Owner for United States Tax Withholding and Reporting (Individuals)

► For use by individuals. Entities must use Form W-8BEN-E.

► Information about Form W-8BEN and its separate instructions is at [www.irs.gov/formw8ben](http://www.irs.gov/formw8ben).

► Give this form to the withholding agent or payer. Do not send to the IRS.

**Do NOT use this form if:**

- You are NOT an individual . . . . . W-8BEN-E
- You are a U.S. citizen or other U.S. person, including a resident alien individual . . . . . W-9
- You are a beneficial owner claiming that income is effectively connected with the conduct of trade or business within the U.S. (other than personal services) . . . . . W-8ECI
- You are a beneficial owner who is receiving compensation for personal services performed in the United States . . . . . 8233 or W-4
- A person acting as an intermediary . . . . . W-8IMY

**Instead, use Form:**

**Part I Identification of Beneficial Owner (see instructions)**

<b>1</b> Name of individual who is the beneficial owner		<b>2</b> Country of citizenship	
<b>3</b> Permanent residence address (street, apt. or suite no., or rural route). <b>Do not use a P.O. box or in-care-of address.</b>			
City or town, state or province. Include postal code where appropriate.			Country
<b>4</b> Mailing address (if different from above)			
City or town, state or province. Include postal code where appropriate.			Country
<b>5</b> U.S. taxpayer identification number (SSN or ITIN), if required (see instructions)		<b>6</b> Foreign tax identifying number (see instructions)	
<b>7</b> Reference number(s) (see instructions)		<b>8</b> Date of birth (MM-DD-YYYY) (see instructions)	

**Part II Claim of Tax Treaty Benefits (for chapter 3 purposes only) (see instructions)**

**9** I certify that the beneficial owner is a resident of \_\_\_\_\_ within the meaning of the income tax treaty between the United States and that country.

**10 Special rates and conditions** (if applicable—see instructions): The beneficial owner is claiming the provisions of Article \_\_\_\_\_ of the treaty identified on line 9 above to claim a \_\_\_\_\_ % rate of withholding on (specify type of income): \_\_\_\_\_

Explain the reasons the beneficial owner meets the terms of the treaty article: \_\_\_\_\_

**Part III Certification**

Under penalties of perjury, I declare that I have examined the information on this form and to the best of my knowledge and belief it is true, correct, and complete. I further certify under penalties of perjury that:

- I am the individual that is the beneficial owner (or am authorized to sign for the individual that is the beneficial owner) of all the income to which this form relates or am using this form to document myself as an individual that is an owner or account holder of a foreign financial institution,
- The person named on line 1 of this form is not a U.S. person,
- The income to which this form relates is:
  - (a) not effectively connected with the conduct of a trade or business in the United States,
  - (b) effectively connected but is not subject to tax under an applicable income tax treaty, or
  - (c) the partner's share of a partnership's effectively connected income,
- The person named on line 1 of this form is a resident of the treaty country listed on line 9 of the form (if any) within the meaning of the income tax treaty between the United States and that country, and
- For broker transactions or barter exchanges, the beneficial owner is an exempt foreign person as defined in the instructions.

Furthermore, I authorize this form to be provided to any withholding agent that has control, receipt, or custody of the income of which I am the beneficial owner or any withholding agent that can disburse or make payments of the income of which I am the beneficial owner. **I agree that I will submit a new form within 30 days if any certification made on this form becomes incorrect.**

**Sign Here**



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Signature of beneficial owner (or individual authorized to sign for beneficial owner)

-----  
Date (MM-DD-YYYY)

-----  
Print name of signer

-----  
Capacity in which acting (if form is not signed by beneficial owner)