



APPLICATION TO OPEN A SHARE DEALING ACCOUNT WITH COLLATERAL (UK CORPORATE)

BEFORE YOU START

This form enables you to apply for a corporate Share Dealing account with us and to activate our collateral service which allows you to use the stocks and shares in your Share Dealing account as collateral for margin on your CFD account. To make this application you will need some important pieces of information at hand, and the appropriate individuals will need to provide their details and sign the form.

WHO SHOULD SIGN THIS FORM?

On behalf of the applicant

- In the case of a company, two of the directors
- In the case of a partnership, two of the partners

On behalf of the guarantor

- The individual guarantor **OR** if a company is the guarantor, two directors of the company or one director and the company secretary

WHAT YOU NEED TO KNOW

Before you apply, it is important to read our Risk Disclosure Notice, Share Dealing Customer Agreement, Collateral Customer Agreement, Order Execution Policy, Conflicts Policy and Privacy Policy. You can find them all at IG.com/uk/professional-corporate-trader.

LEI NUMBER (LEGAL ENTITY IDENTIFIER)

All counterparties to a derivative contract must report details of that derivative contract to a trade repository. Your LEI number is your company's unique 20-character code which you will use to report all derivative financial transactions entered into by your company.

BOARD RESOLUTION

Your board must hold a meeting and pass certain resolutions to enable your company to open an account with us and activate our collateral service. We will ask you to certify that this has happened in the certified board resolution section. The board must also authorise people to trade on your company's account (**'Authorised Signatories'**). The Authorised Signatories must sign the authorised signatories list in the schedule.

SUPPORTING DOCUMENTS NEEDED

You must enclose the following supporting documentation with the Company's completed account application form:

- Copy of the Memorandum and Articles of Association (as applicable)
- Copy of the Certificate of Incorporation
- Copy of the most recent accounts
- Proof of registered address (recent annual return or print out from the company registry)
- Proof of business (postal) address if different to registered address (recent copy bill or bank statement displaying the Company's name and business address)
- Copy of register of shareholders **PLUS** verification of the identity of shareholders with a holding of 25% or more. For individual shareholders, please provide a copy of passport and a recent copy of residential utility bill for each. If a shareholder with a shareholding of 25% or more is a corporate entity please provide all the information in this list for each entity)
- Recent bank statement confirming the bank details that will be used to fund the account

We may contact you for further information/documentation.

WE ARE NOT ABLE TO OPEN YOUR ACCOUNT WITHOUT THESE SUPPORTING DOCUMENTS

COMPLETING THE FORM

- Please complete all sections **IN FULL** and in **BLOCK CAPITALS**
- If you have any questions, please call us on +44 20 7573 0219

05 | IDENTIFICATION OF DIRECTOR(S)

We need to verify the identity of the directors signing this form before the account can be opened.

SOLE DIRECTOR/DIRECTOR 1:

Full name: _____

Residential address: _____

Postcode: _____

Date of Birth: __ __ / __ __ / __ __ __ __

DIRECTOR 2:

Full name: _____

Residential address: _____

Postcode: _____

Date of Birth: __ __ / __ __ / __ __ __ __

1. Are any Directors currently or previously bankrupt? Yes No

If the answer is **'Yes'**, please give details: _____

06 | STATEMENT INSTRUCTIONS

In the absence of other instructions from you, we will send statements by email. If you would prefer to receive these by post, please tick this box

Please note that postal statements will incur a charge of £1 per statement.

COLLATERAL

By ticking this box and signing this form, you agree to activate our collateral service pursuant to the terms of the Collateral Customer Agreement. This means that the Share Dealing account you are opening with us will be linked to the:

Company's existing CFD Account number: _____

In order for IG to take the relevant security over the Company's assets, we will need to register a charge over the company pursuant to the terms of the Collateral Customer Agreement. By signing this form, you agree to the terms of the Collateral Customer Agreement, and consent to the registration of a charge over the company by IG.

KNOWLEDGE AND EXPERIENCE

The law requires us to assess whether our services are appropriate for the Company. We will use the information below to make this assessment. If there are any issues, we will contact you to discuss further options.

1. Over the past three years, to what extent has the Company traded the following?

Shares and/or bonds	<input type="checkbox"/> Frequently	<input type="checkbox"/> Sometimes	<input type="checkbox"/> Rarely/never
Exchange traded derivatives (eg ETCs, ETFs, warrants, futures or options)	<input type="checkbox"/> Frequently	<input type="checkbox"/> Sometimes	<input type="checkbox"/> Rarely/never
OTC derivatives (eg CFDs, or spread bets)	<input type="checkbox"/> Frequently	<input type="checkbox"/> Sometimes	<input type="checkbox"/> Rarely/never

2. How has the Company mostly traded these products? Execution-only and/or Advisory Managed Rarely/never

3. Do the officer(s) of the Company who will be making the Company's trading decisions have particular experience or qualifications which would assist the Company's understanding of our services? Yes No

If 'Yes', please tick as applicable: Working in a financial institution A relevant professional qualification and/or education
 Both the above Other

Optional:

4. Please provide us with any further information about the Company's knowledge and experience that will help us assess whether our services are appropriate for the Company:

DECLARATIONS

I confirm that the Company understands:

- the nature and risks of buying, selling and investing in shares, exchange traded funds and exchange traded commodities ('Instruments');
- that by executing this form and accompanying resolution, the Company is agreeing to link the Company's Share Dealing account created pursuant to this application ('Share Dealing Account'), with the CFD Account indicated in this application ('CFD Account') in accordance with the terms of the Collateral Customer Agreement;
- the nature and risks of using the Instruments as collateral for initial margin and margin on the Company's CFD Account in accordance with the terms of the Collateral Customer Agreement, including the risk that Instruments held in the Company's Share Dealing Account can be sold and the proceeds used to account for any debt or deficit owing to IG in relation to the Company's CFD Account.

I consent to the provision to the Company of the Risk Disclosure Notice, Stockbroker Customer Agreement and Collateral Customer Agreement by way of the IG website and I hereby confirm that I have read and understood these documents and that the Company agrees to be bound by their terms. The company also agrees to IG's Privacy Policy.

Name of Director/partner: _____

 Signature: _____ Date: _____

Name of Director/partner: _____

 Signature: _____ Date: _____

**IN THE CASE OF A COMPANY TWO DIRECTORS MUST SIGN
IN THE CASE OF A PARTNERSHIP TWO OF THE PARTNERS MUST SIGN**

CERTIFIED BOARD RESOLUTION

I (name): _____

Company Secretary/Director of (Name of Company): _____ (the '**Company**') _____

certify that the following resolutions were duly passed by the Directors of the Company at a meeting held on

Date: _____

IT WAS RESOLVED AS FOLLOWS:

1. That Share Dealing account(s) (the '**Share Dealing Account(s)**') be opened with IG Markets Limited ('**IG**') in the name of the Company for the purpose of buying, selling and investing in shares, exchange traded funds and exchange traded commodities and any transactions related or ancillary to any of the above instruments.
2. That an agreement be entered into with IG in connection with the opening of the Share Dealing Accounts in such form as IG shall require (the '**Share Dealing Customer Agreement**') and that all transactions entered into by the Company will be subject to the terms of the Share Dealing Customer Agreement as amended from time to time.
3. That an agreement be entered into with IG in order to link the Company's Share Dealing Account with its CFD Account (the '**Collateral Customer Agreement**') so that the Instruments and cash on the Company's Share Dealing Account will be used as collateral for the Company's CFD Account.
4. That each of the persons whose names and specimen signatures appear in the attached authorised signatories list (the '**Authorised Signatories**') shall be and are hereby jointly and severally authorised to sign any document in connection with the opening or operation of the Account(s), including (but without limitation) the Agreement and any document creating, perfecting or relating to any mortgage, charge or encumbrance over the Company's assets and to give any oral or written instructions to IG with respect to the Account(s) including (but without limitation) instructions to effect or otherwise enter into transactions with or on behalf of the Company.
5. That any transactions of any description whatsoever previously entered into by the Company with or through IG be and are hereby ratified and approved.
6. That these Resolutions be communicated to IG and shall remain in force and that IG shall be entitled to rely on the same until an amending resolution shall be passed and a copy certified by an officer of the Company shall have been received by IG.

I further certify that there is no legal or other reason why the Company should not conduct this business.

 Signature of Company Secretary/Director: _____ Date: _____

WHAT TO DO NEXT

1. Please check:

- you have fully completed all sections of the application form
- all the appropriate people have signed the form and the authorised signatory list in the schedule
- you have enclosed the required proof of identity, and copy of an authorised signatory list

If you have any questions please call us on +44 20 7573 0219 or email institutionalsales@ig.com

2. Return your application to:

IG Institutional sales desk
Cannon Bridge House
25 Dowgate Hill
London EC4R 2YA
or email institutionalsales@ig.com

Once you have completed your details, please:

- Print this form
- Sign it (in the presence of an independent witness if you are an individual)
- Return it to us



