IG

APPLICATION TO OPEN A MARGIN TRADING ACCOUNT (PERSONAL)

PERSONAL INFORMATION

Please complete all details. Our regulator requires that we obtain this information from you, and we are unable to open your account without it.

01 | PERSONAL DETAILS

Surname: _____

Country: _____

Forenames: _____

Time at current address (years/months): _____

Title (Mr/Mrs/Ms/Other): _____ Date of birth: _____

Previous address if less than three years: _____

Home address: _____

_____

_____ Postcode: _____

_____ Postcode: _____

02 | CONTACT NUMBERS

Main contact number (mandatory): _____

Email address (mandatory): _____

03 | TAX STATUS

Please could you confirm:

The country or countries where you are a tax resident: _____

Whether you are a US citizen? ☐ Yes ☐ No

A US citizen has been defined by the IRS as: an individual born in the United States; an individual born in Puerto Rico, Guam or the US Virgin Islands; a former alien who has been naturalised as a US citizen or an individual whose parent is a US citizen. For further information please visit www.irs.gov/Individuals/International-Taxpayers/Foreign-Persons

Your taxpayer identification number (TIN) if you are a US citizen or a tax resident
in the US, the UK Crown Dependencies (Jersey, Guernsey, and the Isle of Man), or Gibraltar: _____

04 | EMPLOYMENT DETAILS

Employment Status: ☐ Employed ☐ Self-Employed ☐ Retired ☐ Unemployed

Occupation: _____

Industry: _____

05 | FINANCIAL DETAILS

Approx. annual
income before tax: **£** _____

Approx. value of savings and
investments (excluding property): **£** _____

Source of funds used for your trading
with IG Markets Limited: ☐ Employment ☐ Inheritance ☐ Investment ☐ Other (please specify)

Other: _____

06 | STATEMENT INSTRUCTIONS

In the absence of other instructions from you, we will send statements by email. If you would prefer to receive these by post, please tick this box: ☐
Please note that postal statements will incur a charge of £1 per statement.

IG Markets Limited, Application to Open a Margin Trading Account (Personal), July 2018

Authorised and regulated by the Financial Conduct Authority.
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YOUR KNOWLEDGE AND EXPERIENCE

Please complete the following section so we can assess the appropriateness of our services for you. Please note that we are required to make this assessment by law. It may be that we do not consider the account type you have selected to be appropriate for you. If this is the case, we will contact you to discuss further options.

1. To what extent over the past 3 years have you traded the following?

Shares and/or bonds	☐ More than 25 times	☐ 10 to 25 times	☐ Less than 10 times
Exchange-traded derivatives (eg warrants, futures or options)	☐ More than 25 times	☐ 10 to 25 times	☐ Less than 10 times
OTC derivatives (eg CFDs, spread betting, forex, binaries)	☐ More than 25 times	☐ 10 to 25 times	☐ Less than 10 times

2. How have you mostly traded these products? ☐ Execution-only and/or Advisory ☐ Managed

3. Do you have particular experience or qualifications which would assist your understanding of our services? ☐ Yes ☐ No

If yes:

Occupational experience: I have a good knowledge of OTC, leveraged derivatives through working in the financial sector ☐

Qualifications: I have a good knowledge of OTC, leveraged derivatives because of a relevant professional qualification and/or my education or relevant training ☐

DECLARATIONS

Spread bets and CFDs are complex instruments and come with a high risk of losing money rapidly due to leverage. **79% of retail investor accounts lose money when trading spread bets and CFDs with this provider.** You should consider whether you understand how spread bets and CFDs work, and whether you can afford to take the high risk of losing your money.

I understand the nature and risks of Margin Trading via CFDs. I consent to the provision to me of the following information by way of a website: the Risk Disclosure Notice, the Margin Trading Customer Agreement, the Summary Order Execution Policy and the Summary Conflicts Policy and I hereby confirm that I have read, understood and agree to be bound by the terms of these documents. Finally, I confirm that I am aged 18 years or over and that the information provided by me in this form is true and correct.

❌ Signature: _____ Date: _____

ALL APPLICATIONS MUST BE ACCOMPANIED BY:
- **A PHOTOCOPY OF YOUR PASSPORT, DRIVING LICENCE OR NATIONAL ID CARD (WHICH MUST CONTAIN A PHOTO);**
- **A COPY OF A RECENT UTILITY BILL (NOT MOBILE PHONE).**

We may need to contact you for further information/documentation

Once you have completed your details, please:
- ☑ Print this form
- ☑ Sign it
- ☑ Return it to us

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