



SELF-MANAGED SUPERANNUATION FUND APPLICATION TO OPEN AN ACCOUNT WHERE A NON-CORPORATE TRUSTEE

ACCOUNT TYPE

Please select which type of account you would like to open

CFD Trading

Share Trading

Please note, a Trust must be both established in Australia and a sole tax resident of Australia to qualify for a share trading account.

TRUSTEE INFORMATION

01 | IDENTIFICATION OF TRUSTEE(S)

TRUSTEE 1:

Full name: _____

Residential address (not a PO Box): _____

Postcode: _____

Contact telephone number: _____

Date of Birth: _____

Approx. annual income before tax over last 3 years: **A\$** _____

Approx. value of savings and investments: **A\$** _____

Evidence may be required upon request.

TRUSTEE 2:

Full name: _____

Residential address (not a PO Box): _____

Postcode: _____

Contact telephone number: _____

Date of Birth: _____

Approx. annual income before tax over last 3 years: **A\$** _____

Approx. value of savings and investments: **A\$** _____

02 | NOMINATED TRUSTEE NAME AND CONTACT NUMBERS

Contact name: _____

Secondary contact number: _____

Main contact number (mandatory): _____

Where did you hear about us?: _____

Email address (mandatory): _____

03 | FINANCIAL DETAILS

Approx. funds available to the Trustee's trading with IG: **A\$** _____

Does the Trust or Trustees have assets in excess of A\$1 million (including SMSF)? Yes No

Source of funds used for your trading with us: Trust Funds Other (please specify)

Other: _____

Purpose of trading account/s: Short medium term speculation Hedging purposes
 Long term growth Diversified investment

TRUST INFORMATION

Full name of the Trust: _____

Country the Trust was established: _____

Is the Superannuation Trust Fund established in Australia and a sole tax resident of Australia? Yes No

Beneficiary/s full name/s:

OR Membership class of Beneficiary/s:

INVESTMENT EXPERIENCE

1. Over the past three years, to what extent has the trustee(s) traded the following products?

Shares and bonds	<input type="checkbox"/> More than 20 times	<input type="checkbox"/> 10 to 20 times	<input type="checkbox"/> Less than 10 times
Exchange-traded derivatives (including warrants, futures and options)	<input type="checkbox"/> More than 20 times	<input type="checkbox"/> 10 to 20 times	<input type="checkbox"/> Less than 10 times
OTC derivatives (including CFDs and forex)	<input type="checkbox"/> More than 20 times	<input type="checkbox"/> 10 to 20 times	<input type="checkbox"/> Less than 10 times

2. How has the trustee(s) mostly traded these products?

Independently or with advise Using managed funds

3. Does the trustee(s) have any experience or qualifications relevant to the understanding of our products?

Yes, from a relevant role in a financial institution Yes, a relevant professional qualification or education
 Yes, both of above No

SUPPORTING DOCUMENTATION

The following supporting documentation should accompany the completed account Application Form for a Non-Corporate Trustee:

- Trust Deed
- Latest Trust bank statement (no more than 90 days old)

NON-PROFESSIONAL USER

Please complete the following declaration in order to be classified as a non-professional user of our service. This will enable us to supply you with data feeds quickly and easily. If these terms do not apply to you, please contact us for more information.

In order to be classified as a non-professional user of the market data that we provide, you must hereby declare that:

- you will subscribe to the service as a Trustee, and in that capacity for the benefit of the beneficiaries;
- you will use the service solely in relation to the management of the Trust's funds and not otherwise as an investment advisor, broker to the public or for the investment of third party or corporate funds;
- if you are employed by an organisation carrying out professional financial or investment activities and/or if you are currently registered or qualified as a professional securities trader or investment advisor with any national or regional exchange, regulatory authority, professional association or recognised body, you agree and undertake that your access to the service will be strictly for your sole personal use only; and
- you will not distribute, disseminate, republish or otherwise provide or make available any data from the service to any third party in any manner whatsoever.

I/we declare that the above statements as they apply to me/us, are true and correct. Further, I/we undertake to notify IG Markets Limited if there is any change to my/our status such that any one of the statements above becomes untrue.

Signature (Trustee 1): _____ Date: _____

Signature (Trustee 2): _____ Date: _____

DECLARATIONS

I, for and on behalf of the Client, have received and understood the Share Trading Customer Agreement, Margin Trading Customer Agreement, and the Margin Trading Product Disclosure Statement, as applicable. I hereby consent to be bound by the terms of the relevant Customer Agreement in my dealings with IG Markets.

The Client understands the nature and risks associated with trading CFDs and/or securities and I, for and on behalf of the Client, hereby confirm that the Client has read and understood and agrees to be bound by the terms of the Risk Disclosure Notice.

I have also read, understood and consent to the Privacy Policy, including any offshore disclosure of my personal information specified therein.

I confirm that I will not supply, show or make available or permit to be supplied, shown or made available any market data from any service therefore, provided by IG, to any third party in any manner. I will not use any data from the service to establish, maintain or provide, or assist in providing a market for trading in securities or other financial instruments which is not authorised by law.

I confirm that all funds that are used to trade with IG are the Client's own funds, and are not considered Client Money as that term is defined by the Corporations Act 2001 (Cth).

If relevant, I consent to IG obtaining from a credit reporting agency a consumer and/or commercial credit report about me or the Client in connection with a Margin Trading Application. I also confirm, for and on behalf of the Client, that I have read, understood and agree to the matters set out at section 21 and section 29 of the Margin Trading Customer Agreement.

I consent to IG verifying my identity by disclosing my personal information such as my name, date of birth, and address or other information that has been provided to a credit reporting agency or identity verification service to obtain an assessment of whether my personal information provided matches the information held by them or other identity verification sources. I hereby give my full and informed consent to IG to provide my personal information to a credit reporting agency or identity verification service for the purposes of undertaking electronic identity verification.

As Trustee of the Client, I am expressly authorised and vested with the power to enter into the Customer Agreement(s) by the Trust Deed.

I confirm I am aged 18 years or over and I undertake to notify IG if there is any change to the Client's status such that any one or more of the statements herein become untrue.

NON-CORPORATE TRUSTEE(S)

Signature (Trustee 1): _____ Date: _____

Signature (Trustee 2): _____ Date: _____

Once you have completed your details, please:



- Print this form
- Sign it (in the presence of an independent witness if you are an individual)
- Return it to us

IG MARKETS LIMITED Level 15, 55 Collins Street, Melbourne, VIC 3000
F 1800 601 799 S +61 3 9860 1711 F +61 3 9860 1702
E accountopening.au@ig.com W IG.com/au