

**IMPORTANT INFORMATION**

IG Asia Pte Ltd is required under Singapore law to provide you with certain information before you make a decision on whether you wish to be treated as an accredited investor as defined in Section 4A of the Securities and Futures Act (Cap. 289) (the "SFA").

Accordingly, we hereby inform you that:

- (a) we have assessed you to be an "accredited investor" as defined in Section 4A of the SFA based on the information you have provided to us;
- (b) you may, but are not obliged to, consent to being treated by us as an accredited investor for the purposes of the statutory provisions set out in regulation 3(9) of the Securities and Futures (Classes of Investors) Regulations 2018; and
- (c) if you consent to the treatment outlined in paragraph (b) above, you may withdraw your consent at any time, upon which we will cease to treat you as an accredited investor.

**PART 1: RISK DISCLOSURES AND EFFECTS**

Being treated as an accredited investor ("AI") will permit IG Asia Pte Ltd ("IGA") the opportunity to offer you lower margins on leveraged investments.

Please note that being classified as an AI also means, among other things, that you will be deemed to have more knowledge and the ability to understand and manage the risks of the financial products that you choose to invest in. This means that IGA is allowed to assume that you have a certain level of understanding of financial products, including collective investment schemes, and are not obliged to determine your precise level of understanding of such products. We are also allowed to assume that you have sought independent advice prior to purchasing or participating in any financial instrument or investment. In addition, when you hold certain financial instruments or participate in certain activities, you will be afforded fewer statutory protections and remedies than retail investors.

Where you are purchasing capital markets products offered pursuant to section 275 or 305 of the SFA, you should be aware that the restrictions and prohibition on subsequent transfers of those products will apply to you. Pursuant to regulations 33 and 34 of the Financial Advisers Regulations, you acknowledge that we have no obligation to disclose all material information relating to designated investment products nor have a duty to determine suitability of the recommendations provided to you.

**GENERAL WARNING**

Accredited investors are assumed to be better informed, and better able to access resources to protect their own interests, and therefore require less regulatory protection. Investors who agree to be treated as accredited investors therefore forgo the benefit of certain regulatory safeguards. For example, issuers of securities are exempted from issuing a full prospectus registered with the Monetary Authority of Singapore in respect of offers that are made only to accredited investors, and intermediaries are exempted from a number of business conduct requirements when dealing with accredited investors. Investors should consult a professional adviser if they do not understand any consequence of being treated as an accredited investor.

**PART 2: PERSONAL INFORMATION OF ACCREDITED INVESTOR (INDIVIDUALS)**

Please complete all the fields below.

Name (in BLOCK LETTERS and as per NRIC / Passport):

\_\_\_\_\_

NRIC / Passport Number: \_\_\_\_\_

Date of Birth: \_\_\_\_\_

Contact Number: \_\_\_\_\_

Email Address: \_\_\_\_\_

## PART 3: DECLARATION, CONFIRMATION, AND ACKNOWLEDGMENT

Please complete all the fields below.

- I declare and confirm that I wish to be treated as an accredited investor as defined in Section 4A of the SFA. I acknowledge and accept that, having opted in to accredited investor status, this treatment will apply to all of my transactions with you. My basis for opting to be treated as an accredited investor is that my (please tick the relevant box):

(A) Income in the preceding 12 months is not less than SGD300,000 (or its equivalent in a foreign currency);

Please provide any of the following supporting documents

(each to be dated no earlier than 3 months before the date of submitting this Form):

- a copy of your latest income tax assessment statement;
- latest 3 months computerized payslip or
- recent bank statement showing 3 months of salary credit

(B) Financial assets (net of any related liabilities) exceed in value SGD1 million (or its equivalent in a foreign currency); or

Please provide the relevant supporting information and documents listed in section X. You may calculate your financial assets by totaling the value of any of the following assets that you own:

- a deposit as defined in section 4B of the Banking Act (Cap. 19);
- an investment product as defined in section 2(1) of the Financial Advisers Act (Cap. 110); or
- any other asset prescribed as a "financial asset" for purposes of establishing accredited investor status by the MAS.

(C) Net personal assets exceed in value SGD2 million (or its equivalent in a foreign currency) in value or such other amount as the Authority may prescribe in place of the first amount.

**Note:** In determining the value of an individual's net personal assets, the value of the individual's primary residence –

(a) is to be calculated by deducting any outstanding amounts in respect of any credit facility that is secured by the residence from the estimated fair market value of the residence; and

(b) is taken to be the lower of the following:

- (i) the value calculated under paragraph (a);
- (ii) SGD1 million.

Please provide the relevant supporting information and documents listed in section Y. You may calculate your net personal assets by subtracting your liabilities from the total value of your assets.

Each document is to be dated no earlier than 3 months before the date of submitting this Form, except for the title deed of the property):

- your property title deed or property tax statement or property valuation report from recognized valuers of your primary residence;
- your latest bank account statement; or
- your latest statement of holdings from CDP or brokerage firm(s)

- I confirm that I have read the above in full and:
  - know and understand the consequences of consenting to being treated by you as an accredited investor;
  - consent to be treated by you as an accredited investor; and
  - know that I may at any time withdraw my consent to the treatment outlined in paragraph (b) above, upon which you will cease to treat me as an accredited investor from the day that I inform you that I am withdrawing my consent.

In completing, signing, and returning this Form to you, I represent and warrant that the information and confirmations I have provided in this Form, and all information contained in this document and any other documentation that I provide to you, are complete, true and accurate. If there is any change in circumstance relating to (i) my net personal or financial asset holdings or income that may affect any of my declarations and representations set out above or (ii) status as an accredited investor under Singapore law such that I cease to be an accredited investor at any time, I undertake to inform you as soon as possible within 14 calendar days of such change in circumstances. If such changes cannot be rectified, I acknowledge and accept that you have full discretion to decide to refrain from treating me as an accredited investor.

Name (in BLOCK LETTERS and as per NRIC/Passport):

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 Signature: \_\_\_\_\_ Date: \_\_\_\_\_

## IMPORTANT: FOR JOINT ACCOUNTS

Please complete all the fields below if you are an individual who holds a joint account with the accredited investor named .

Name (in BLOCK LETTERS and as per NRIC / Passport):

\_\_\_\_\_

NRIC / Passport Number: \_\_\_\_\_

Date of Birth: \_\_\_\_\_

Contact Number: \_\_\_\_\_

Email Address: \_\_\_\_\_

 Signature: \_\_\_\_\_ Date: \_\_\_\_\_

## PART 4: SUPPORTING INFORMATION AND DOCUMENTS

### SECTION X - SUPPORTING INFORMATION AND DOCUMENTS FOR NET FINANCIAL ASSETS

NET FINANCIAL ASSETS		
LIQUID ASSETS	<b>Value of Cash Deposits and any other Liquid Asset(s) such as money market investments and other short-term investments that can be exited easily</b>	
	<b>Assets</b>	<b>Supporting Documents</b>
	Savings deposits	Bank Statement
	Fixed deposits	Bank Statement
INVESTMENT PRODUCTS	<b>Value of Investment Products (which include securities, securities-based and other derivative contracts, CIS, long-term fixed income instruments)</b>	
	<b>Investment Product</b>	<b>Supporting Documents</b>
	Securities	CDP/Brokerage/Bank Statement/ custody holdings or share financing statements
	Unit trusts (CIS)	Investment statement showing details of unit trusts held
	Investment Fund	Investment statement showing details of funds under management
	Any other capital market products	Relevant investment or bank or brokerage or custodian statement
	<b>Less:</b>	
	<b>Any Related Liabilities (include any outstanding loans taken to finance investment portfolio)</b>	
	<b>Related Liabilities</b>	<b>Supporting Documents</b>
	Margin account	CDP/Brokerage/Bank Statement
Any credit line/facilities to finance an investment portfolio	Bank Statements	

## SECTION Y – SUPPORTING INFORMATION AND DOCUMENTS FOR NET PERSONAL ASSETS

NET PERSONAL ASSETS		
PROPERTY ASSETS – OWNER OCCUPIED	<b>Value of Owner-Occupied Property (i.e. client's primary residence located in Singapore or overseas)</b> Note: The maximum amount of the primary residence that can be considered in determining the NPA is SGD 1 million.	
	<b>Please complete the following:</b>	
	<b>Full Address of Owner-occupied property in Singapore or overseas including postal code:</b>	
	<b>Current estimated market value of owner-occupied property</b>	SGD
	<b>Less: Total Outstanding Loan Balance</b>	SGD
	<b>Net equity of owner-occupied property asset</b>	SGD
	<b>Assets/Liabilities</b>	<b>Supporting Documents</b>
	Value of owner-occupied property	Title Deed or Property Tax Statement
<b>Less: Liabilities (E.g. Outstanding Loans)</b>		
Credit facility secured by the primary residence, mortgage loan	Loan/Bank statement	
Debt (e.g. credit card, vehicle loan)	Bank/Credit card statement	
INVESTMENT PROPERTY	<b>Value of Investment Property(s)</b>	
	Please complete the following:	
	<b>Full Address of investment property in Singapore or overseas including postal code:</b>	
	<b>Current estimated market value of investment property</b>	SGD
	<b>Less: Total Outstanding Loan Balance</b>	SGD
	<b>Net equity of investment property asset</b>	SGD
	<b>Assets/Liabilities</b>	<b>Supporting Documents</b>
	Value of investment property	Title Deed or Property Tax Statement
<b>Less: Liabilities (E.g. Outstanding Loans)</b>		
Mortgage loan	Loan/Bank statement	
INVESTMENT PRODUCTS	<b>Value of Investment Products (which include securities, leveraged investments, long-term fixed income instruments)</b>	
	<b>Investment Product</b>	<b>Supporting Documents</b>
	Securities	CDP/Brokerage/Bank Statement
	Investment Fund	Investment statement or bank statement (where relevant)
	Any other capital market products	Relevant investment or bank statement
	<b>Less:</b>	
	<b>Any Related Liabilities (include any outstanding loans taken to finance investment portfolio)</b>	
	<b>Related Liabilities</b>	<b>Supporting Documents</b>
	Margin account	CDP/Brokerage/Bank Statement
Any credit line/facilities to finance an investment portfolio	Bank statements/Loan Statements	



Once you have completed your details, please:

- Print this form
- Sign it
- Return it to us