



## APPLICATION TO OPEN A MARGIN TRADING ACCOUNT (TRUST)

### TRUST INFORMATION

#### 01 TRUST DETAILS

Full name of Trust: \_\_\_\_\_

Address of Trust: \_\_\_\_\_

Postcode: \_\_\_\_\_

Total value of assets under management: £ \_\_\_\_\_

Please confirm the tax residence(s) of the Trust in the below table:

JURISDICTION	TAX IDENTIFICATION NUMBER (TIN)*	IF TIN UNAVAILABLE, PLEASE SPECIFY REASON

\*Please only provide the Tax Identification Number(s) where the Trust is resident in the US, UK Crown Dependencies and/or Gibraltar

#### 02 CONTACT DETAILS

Telephone (office hours): \_\_\_\_\_ Fax: \_\_\_\_\_

Telephone (out of hours): \_\_\_\_\_ Email address (mandatory): \_\_\_\_\_

#### 03 BANK DETAILS

Name of bank: \_\_\_\_\_

Address of bank: \_\_\_\_\_ Account name: \_\_\_\_\_

Account No./IBAN: \_\_\_\_\_

Sort code/BIC: \_\_\_\_\_

Postcode: \_\_\_\_\_ Approx. funds available to  
the Trustee's trading with IG Markets: £ \_\_\_\_\_

### TRUSTEE DETAILS

#### 01 NOMINATED TRUSTEE DETAILS

If a corporate Trustee, full name(s): \_\_\_\_\_ Address of Trustee: \_\_\_\_\_

If an individual Trustee, full name(s): \_\_\_\_\_

Postcode: \_\_\_\_\_

## YOUR KNOWLEDGE AND EXPERIENCE

Please complete the following section so we can assess the appropriateness of our services for the Company. Please note that we are required to make this assessment by law. It may be that we do not consider the account type you have selected to be appropriate for the Company. If this is the case, we will contact you to discuss further options.

1. To what extent over the past 3 years has the Trust traded the following?

Shares and/or bonds	<input type="checkbox"/> More than 20 times	<input type="checkbox"/> 10 to 20 times	<input type="checkbox"/> Less than 10 times
Exchange-traded derivatives (eg warrants, futures or options)	<input type="checkbox"/> More than 20 times	<input type="checkbox"/> 10 to 20 times	<input type="checkbox"/> Less than 10 times
OTC derivatives (eg CFDs, spread betting, forex, binaries)	<input type="checkbox"/> More than 20 times	<input type="checkbox"/> 10 to 20 times	<input type="checkbox"/> Less than 10 times

2. How has the Company mostly traded these products? ☐ Execution-only and/or Advisory ☐ Managed

3. Do the officer(s) of the Company who will be making the Company's betting decisions have particular experience or qualifications which would assist the Company's understanding of our services? ☐ Yes ☐ No

If yes:

Occupational experience: the officer(s) have a good knowledge of OTC, leveraged derivatives through working in the financial sector ☐

Qualifications: the officer(s) have a good knowledge of OTC, leveraged derivatives because of a relevant professional qualification and/or education ☐

4. Please provide us with further information regarding the Company's knowledge and experience that will help us assess whether our services are appropriate for the Company:

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## EMIR CLASSIFICATION

Under EMIR legislation, we require confirmation of whether you are a financial counterparty, a non-financial counterparty (plus or minus), a central counterparty clearing house or other, please see details below.

Please indicate below;

☐ Financial Counterparty ☐ Non-Financial Counterparty Plus ☐ Non-Financial Counterparty minus  
☐ Central Counterparty Clearing House ☐ Other type

If you have selected Non-Financial Counterparty minus above, Please confirm if you are currently undertaking EMIR reporting of traded derivative contracts to a recognised trade repository (TR) yourselves?

☐ Yes ☐ No

## FINANCIAL COUNTERPARTY

Please indicate the type of Financial Counterparty You are (multiple selections permitted, where more than one activity applies, please number in order of the relative importance of the corresponding activities, 1 being the most relevant activity):

- ☐ Assurance undertaking authorised in accordance with Directive 2009/138/EC
- ☐ Credit institution authorised in accordance with Directive 2013/36/EU
- ☐ Investment firm authorised in accordance with Directive 2014/65/EU
- ☐ Insurance undertaking authorised in accordance with Directive 2009/138/EC
- ☐ Alternative investment fund managed by AIFMs authorised or registered in accordance with Directive 2011/61/EU
- ☐ Institution for occupational retirement provision within the meaning of Article 6(a) of Directive 2003/41/EC
- ☐ Reinsurance undertaking authorised in accordance with 2009/138/EC

## FINANCIAL COUNTERPARTY (CONTINUED)

☐ Undertakings for the Collective Investment in Transferable Securities (UCITS) and its management company, authorised in accordance with Directive 2009/65/EC of the European Parliament and of the Council

## NON-FINANCIAL COUNTERPARTY

Please indicate the type of Non-Financial Counterparty You are (multiple selections permitted, where more than one activity applies, please number in order of the relative importance of the corresponding activities, 1 being the most relevant activity):

- ☐ Agriculture, forestry and fishing
- ☐ Mining and quarrying
- ☐ Manufacturing
- ☐ Electricity, gas, steam and air conditioning supply
- ☐ Water supply, sewerage, waste management and remediation activities
- ☐ Construction
- ☐ Wholesale and retail trade, repair of motor vehicles and motorcycles
- ☐ Transportation and storage
- ☐ Accommodation and food service activities
- ☐ Information and communication
- ☐ Financial and insurance activities
- ☐ Real estate activities
- ☐ Professional, scientific and technical activities
- ☐ Administrative and support service activities
- ☐ Public administration and defence; compulsory social security
- ☐ Education
- ☐ Human health and social work activities
- ☐ Arts, entertainment and recreation
- ☐ Other service activities
- ☐ Activities of households as employers; undifferentiated goods – and services –producing activities of households for own use
- ☐ Activities of extraterritorial organisations and bodies

You are an NFC+ if your annual gross notional value of assets traded is above any of the thresholds outlined below and you are an NFC- if you are below the thresholds.

ASSET CLASS	CLEARING THRESHOLD
Credit derivative contracts	€1 billion
Equity derivative contracts	€1 billion
Interest rate derivative contracts	€3 billion
Foreign exchange derivative contracts	€3 billion
Commodity derivative contracts and others	€3 billion

## TRADING ACTIVITY

If you are a Non-Financial Counterparty, please also select which option below best applies your trading;

My trades are for treasury financing and/or commercial activity\*

- ☐ Yes    ☐ No

## SUPPORTING DOCUMENTATION

1. The following supporting documentation must accompany the completed account Application Form for a Corporate Trustee:

- Memorandum and Articles of Association
- Certificate of Incorporation
- Trust Deed
- Latest Trust financial statements
- Proof of registered Address (recent original utility bill or bank statement displaying the Company's Name and Registered Address)
- Proof of Business (mailing) Address (recent original utility bill or bank statement displaying the Company's Name and Business (mailing) Address)
- Individual verification of two Directors (copy of passport and a recent original residential utility bill for each)
- Copy of Register of Shareholders
- Individual verification of shareholders with a holding of 25% or more
  - Individual shareholders (copy of passport and a recent original residential utility bill for each)
  - Corporate shareholders (a complete list of supporting documentation for each Company as listed above)
- Recent bank statement confirming the bank details you will be using for funding your account

2. The following supporting documentation must accompany the completed account Application Form for an Individual Trustee:

- Trust Deed
- Latest Trust financial statements
- Proof of identity of all Trustees (copy of passport, driving licence or national identity card and a recent original residential utility bill for each)

**Please note:** once the Trust has submitted its application, we may contact you to request that the beneficial owner of the Trust supplies us with a document guaranteeing the obligations of the Trust. A guarantee of this kind will only be required in certain circumstances (eg where the Trust itself has very few assets or where the Trust is registered in certain jurisdictions).

**WE ARE NOT ABLE TO OPEN AN ACCOUNT WITHOUT THIS SUPPORTING DOCUMENTATION**

## NON-PROFESSIONAL USER

Please complete the following declaration in order to be classified as a non-professional user of our service. This will enable us to supply you with data feeds quickly and easily. If these terms do not apply to you, please contact us for more information.

In order to be classified as a non-professional user of the market data that we provide, you must hereby declare that:

- you will subscribe to the service as a Trustee, and in that capacity for the benefit of the beneficiaries;
- you will use the service solely in relation to the management of the Trust's funds and not otherwise as an investment advisor, broker to the public or for the investment of third party or corporate funds;
- if you are employed by an organisation carrying out professional financial or investment activities and/or if you are currently registered or qualified as a professional securities trader or investment advisor with any national or regional exchange, regulatory authority, professional association or recognised body, you agree and undertake that your access to the service will be strictly for your sole personal use only; and
- you will not distribute, disseminate, republish or otherwise provide or make available any data from the service to any third party in any manner whatsoever.

I/we declare that the above statements as they apply to me/us, are true and correct. Further, I/we undertake to notify IG Markets Limited if there is any change to my/our status such that any one of the statements above becomes untrue.



Signature: \_\_\_\_\_ Date: \_\_\_\_\_

## RESOLUTION OF TRUSTEE(S)

Spread bets and CFDs are complex instruments and come with a high risk of losing money rapidly due to leverage. **77% of retail investor accounts lose money when trading spread bets and CFDs with this provider.** You should consider whether you understand how spread bets and CFDs work, and whether you can afford to take the high risk of losing your money.


The Trustee(s) having satisfied it/his/her/themselves that the Trustee(s) under the Trust Deed is/are invested with the power to do so and so warrants resolved as follows:

1. That account(s) (the **'Account(s)'**) be opened with IG Markets Limited in the name of the Trustee(s) for the purpose of entering into Margin Trading contracts and any transactions related or ancillary to and of the contracts, and for this purpose deposit funds with IG Markets Limited.
2. That an agreement be entered into with IG Markets Limited in connection with the opening of the Accounts in such form as IG Markets Limited shall require (the **'Agreement'**) and that all transactions entered into by the Trustee(s) shall be subject to the terms of the Agreement as amended from time to time.
3. That each of the persons whose names and specimen signatures appear below (the **'Authorised Signatories'**) shall be and are hereby jointly and severally authorised to sign any document in connection with the opening or operation of the Account(s), including (but without limitation) the Agreement and any document creating, perfecting or relating to any mortgage, charge or encumbrance over the Trust assets and to give any oral or written instructions to IG Markets Limited with respect to the Account(s) including (but without limitation) instructions to effect or otherwise enter into transactions with or on behalf of the Trustee(s).

(i) Print Name & Title of Authorised Signatory: \_\_\_\_\_

 Specimen Signature: \_\_\_\_\_

(ii) Print Name & Title of Authorised Signatory: \_\_\_\_\_

 Specimen Signature: \_\_\_\_\_

4. That any transactions of any description whatsoever previously entered into by the Trustee(s) with or through IG Markets Limited be and are hereby ratified and approved.
5. That these Resolutions be communicated to IG Markets Limited and shall remain in force and that IG Markets Limited shall be entitled to rely on the same until an amending resolution shall be passed and a copy certified by the Trustee(s) shall have been received by IG Markets Limited.
6. That the Trustee understands the nature and risks of Margin Trading via CFDs. That the Trustee consents to the provision to the Trust of the following information by way of a website: the Risk Disclosure Notice, the Margin Trading Customer Agreement, the Summary Order Execution Policy and the Summary Conflicts Policy and that the Trust agrees to be bound by the terms of these documents.

I further certify that there is no legal or other reason why the Trustee(s) should not conduct Margin Trading business at its, his, hers, or their absolute discretion without being called to account in relation thereto. Finally, I confirm that the information provided by me in this form on behalf of the Trust is true and correct.

 Signature of Trustee: \_\_\_\_\_ Date: \_\_\_\_\_

 Signature of Trustee: \_\_\_\_\_ Date: \_\_\_\_\_

## NOTICE OF AGREEMENT: TITLE TRANSFER

To: IG Markets Limited ('you', 'your')

From:

Client name: \_\_\_\_\_ ('the Trust', 'it')

Account Number: \_\_\_\_\_

In accordance with Term 17(6) of your Customer Agreement, I hereby agree on behalf of the Trust that the Trust does not require money which it transfers to you to be held in accordance with the Client Money Rules (as defined in the Customer Agreement). The Trust authorises you to treat any transfer of money by it as a transfer of full ownership of that money to you for the purpose of securing or covering the Trust's present, future, actual, contingent or prospective obligations.

The Trust understands that that any money it transfers to you will not be segregated from your own money, that you may deal with such money in your own right, and that the Trust will rank as a general creditor of yours.

The Trust understands that you will transfer an equivalent amount of money back to the Trust where the money is due to be repaid to it under the terms of the Customer Agreement.



Signed by (insert name): \_\_\_\_\_ as an authorised signatory for the Trustee of the Trust.



Signature: \_\_\_\_\_ Date: \_\_\_\_\_



Once you have completed your details, please:

☒ Print this form

☒ Sign it