

ACCOUNT TYPE

Please select which type of account you would like to open;

- ☐ CFD Trading
- ☐ Stock Trading

BEFORE YOU START

This form enables you to apply for a corporate client account with us. To make this application you will need some important pieces of information at hand, and the authorised individuals will need to provide their details and sign the form.

WHO SHOULD SIGN THIS FORM?

On behalf of the applicant

- In the case of a company, two of the authorised directors
- In the case of a partnership, two of the partners

On behalf of the guarantor

- The individual guarantor OR if a company is the guarantor, two directors of the company or one director and the company secretary

WHAT YOU NEED TO KNOW

Before you apply, it is important to read our Risk Disclosure Notice, Margin Trading Customer Agreement and/or Stock Trading Customer Agreement (as applicable), Summary Order Execution Policy, Conflict Policy, Complaints Procedure and Privacy Notice and Access Policy. You can find these documents at [IG.com/ae](https://www.ig.com/ae).

LEI NUMBER (LEGAL ENTITY IDENTIFIER) (IF APPLICABLE)

All counterparties to a derivative contract must report details of that derivative contract to a trade repository. Your LEI number is your company's unique 20-character code which you will use to report all financial transactions entered into by your company.

BOARD RESOLUTION

Your board must hold a meeting and pass certain resolutions to enable your company to open an account with us. We will ask you to certify that this has happened in the certified board resolution section. The board must also authorise people to trade on your company's account ('**Authorised Signatories**'). The Authorised Signatories must sign the authorised signatories list in the schedule.

SUPPORTING DOCUMENTS NEEDED

You must enclose the following supporting documentation with the Company's completed account application form:

- Certified copy of the Memorandum and Articles of Association (as applicable)
- Certified copy of the Certificate of Incorporation/Commercial License
- Certified copy of the most recent Bank accounts
- True copy of Proof of registered address (recent annual return/Financial Statements or print out from the company registry)
- Proof of business (postal) address if different to registered address (recent certified copy bill or bank statement displaying the Company's name and business address)
- Certified copy of register of shareholders **PLUS** verification of the identity of the directors and shareholders. For individual shareholders who can exert control, please provide a copy of passport and a recent copy of residential utility bill for each. If a shareholder who can exert control is a corporate entity please provide all the information in this list for each entity. IG may request additional information on the beneficial owners subject to the company profile
- True copy of recent bank statement confirming the bank details that will be used to fund the account

We may contact you for further information/documentation.

WE ARE NOT ABLE TO OPEN YOUR ACCOUNT WITHOUT THESE SUPPORTING DOCUMENTS

COMPLETING THE FORM

- Please complete all sections **IN FULL** and in **BLOCK CAPITALS**
- If you have any questions, please call us on +971 (0) 4 559 2000

COMPANY INFORMATION

01 COMPANY DETAILS

Full name of company: _____ (the '**Company**') _____

Registered address: _____ Business (postal) address: ☐ Same as registered address

_____ Postcode: _____ Postcode: _____

LEI number (if applicable): _____

Nature of the business: _____

Total value of assets under management: **USD** _____

Please confirm the tax residence(s) of the Company below (if applicable):

JURISDICTION	TAX IDENTIFICATION NUMBER (TIN)*	IF TIN UNAVAILABLE, PLEASE SPECIFY REASON

*Please only provide Tax Identification Number(s) where the Company is resident in the US.

Is the Company a Financial Institution? ☐ Yes ☐ No

Financial Institution – FI will be given a FATCA ID called Global Intermediary Identification Number (GIIN). GIIN is a 19-character number that uniquely identifies an entity as having registered with the IRS for purposes of FATCA.

Company GIIN: _____

02 REGULATION

Is the Company regulated by the DFSA or similar regulator? ☐ Yes ☐ No

If '**Yes**' please state the name of the regulator and regulatory number: _____

03 CONTACT DETAILS

Contact number (mandatory): _____

Email address (for account correspondence): _____

04 BANK DETAILS

Name of bank: _____

Account name: _____

Account No./IBAN: _____

Sort code/BIC: _____

Approx. funds available to the Company's trading with IG: **USD** _____

05 IDENTIFICATION OF DIRECTOR(S)

We need to verify the identity of the directors signing this form before the account can be opened.

SOLE DIRECTOR/DIRECTOR 1:

Full name: _____

Residential address: _____

Postcode: _____

Date of Birth: __ __ / __ __ / __ __ __ __

1. Are any Directors currently or previously bankrupt? ☐ Yes ☐ No

If the answer is 'Yes', please give details: _____

DIRECTOR 2:

Full name: _____

Residential address: _____

Postcode: _____

Date of Birth: __ __ / __ __ / __ __ __ __

06 STATEMENT INSTRUCTIONS

In the absence of other instructions from you, we will send statements by email.

KNOWLEDGE AND EXPERIENCE

The law requires us to assess whether our services are appropriate for the Company. We will use the information below to make this assessment. If there are any issues, we will contact you to discuss further options.

1. Over the past three years, to what extent has the Company traded the following?

Shares and/or bonds	<input type="checkbox"/> More than 20 times	<input type="checkbox"/> 10 to 20 times	<input type="checkbox"/> Less than 10 times
Exchange-traded derivatives (eg warrants, futures or options)	<input type="checkbox"/> More than 20 times	<input type="checkbox"/> 10 to 20 times	<input type="checkbox"/> Less than 10 times
OTC derivatives (eg CFDs including forex)	<input type="checkbox"/> More than 20 times	<input type="checkbox"/> 10 to 20 times	<input type="checkbox"/> Less than 10 times

2. How has the Company mostly traded these products? ☐ Execution-only and/or Advisory ☐ Managed

3. Do the officer(s) of the Company who will be making the Company's trading decisions have particular experience or qualifications which would assist the Company's understanding of our services? ☐ Yes ☐ No

If 'Yes', please tick as applicable:

Experience: the officer(s) have a good knowledge of OTC, leveraged derivatives through working in the financial sector ☐ Less than two years ☐
☐ More than two years

Qualifications: the officer(s) have a good knowledge of OTC, leveraged derivatives because of a relevant professional qualification ☐
and/or education

Optional:

4. Please provide us with any further information about the Company's knowledge and experience that will help us assess whether our services are appropriate for the Company:

RISK DISCLOSURE

CFDs are complex financial instruments which come with a high risk of losing money rapidly due to leverage, based on the fluctuations of the price of the underlying asset.

During the last twelve months, 74% of Retail investor accounts made a loss when trading CFDs.

Before deciding to trade CFDs, you should consider whether you understand how CFDs work, and whether you can afford to take the high risk of losing your money.

☐ I understand the risk

DECLARATIONS

I hereby confirm that I am appointed as the Company's duly authorised signatory to execute this application form and to make the declarations below, for and on behalf of the Company.

I, for and on behalf of the Company, hereby confirm that I have received, read and understood the following:

- the terms of the Margin Trading Customer Agreement and/or the Stock Trading Customer Agreement (as applicable);
- the terms of the Risk Disclosure Notice which sets out the nature and risks associated with trading CFDs and/or investing in shares, exchange-traded funds, exchange-traded commodities and other securities (as applicable); and
- the Summary Order Execution Policy, the Conflict Policy, the Complaints Procedure and the Privacy Notice and Access Policy.

I, for and on behalf of the Company, hereby agree the above-mentioned documents are provided on the IG Limited website.

I, for and on behalf of the Company, hereby confirm that the Company agrees to be bound by the terms of the above-mentioned documents in its dealings with IG Limited.

I, for and on behalf of the Company, hereby undertake to notify IG Limited immediately if there is any change to the details provided herein, including, but not limited to, any change in beneficial ownership.

Name of Director/partner: _____

 Signature: _____ Date: _____

Name of Director/partner: _____

 Signature: _____ Date: _____

**IN THE CASE OF A COMPANY TWO DIRECTORS MUST SIGN
IN THE CASE OF A PARTNERSHIP TWO OF THE PARTNERS MUST SIGN**

DEED OF GUARANTEE AND INDEMNITY IN FAVOUR OF IG

GUARANTEE IN FAVOUR OF

IG Limited, Market Data Limited and any other subsidiary* of the IG Group of which IG Group Holdings plc of Cannon Bridge House, 25 Dowgate Hill, London EC4R 2YA is the holding Company* ('IG').

*Such words have the same meaning as are given to those terms in the Companies Act 2006.

GUARANTEE FROM

Name of Guarantor: _____ (the '**Guarantor**')

Address of Guarantor: _____

Postcode: _____

BACKGROUND

(a) _____ (name of Company)

(the '**Customer**') has opened an account or accounts with IG (the '**Account(s)**') in order to enter into any or all of: (1) contracts for differences; (2) futures and options; (3) binaries; (4) buying, selling and investing in shares, Exchange Traded Funds and Exchange Traded Commodities or (5) any other transaction (each called '**Transactions**') pursuant to the terms and conditions of the relevant IG Customer Agreements (the '**Customer Agreements**').

- (b) When the Customer enters into such Transactions it will incur liabilities and obligations to IG pursuant to the terms of the Customer Agreements.
- (c) IG has agreed to allow the Customer to open the Account(s) on the condition that the Guarantor agrees to guarantee the due performance of the Customer's obligations arising under the Customer Agreements and the due payment of any amounts owed to IG by the Customer in accordance with the terms of this deed.

GUARANTEE AND INDEMNITY

1. The Guarantor guarantees the due and prompt payment of all monies and liabilities that become due and payable by the Customer under the Customer Agreements and the due and punctual performance and observance of all other obligations of the Customer under the Customer Agreements. If the Customer fails, for any reason, to pay any amount guaranteed above when due, the Guarantor shall, as principal obligor, pay that amount to IG on receiving its first written demand.
2. The Guarantor further agrees to indemnify IG and keep indemnified IG against all loss and damage incurred by IG by reason of the failure of the Customer to fulfil all its obligations under the Customer Agreements and to indemnify IG immediately on demand against any cost, loss or liability suffered by it if any Customer obligation is or becomes unenforceable, invalid or illegal.
3. A certificate in writing signed by IG or on IG's behalf stating the amount at any particular time due and payable by the Guarantor hereunder shall be conclusive evidence of such payment obligation, save in the case of manifest error by IG.
4. The Guarantor's liability under this deed will only be discharged by the receipt by IG of payment of monies owed under this deed in full. The Guarantor's liability will not be discharged by any other action, omission or fact and therefore shall not be affected by:
 - 4.1 IG giving time or any other indulgence or forbearance to the Customer;
 - 4.2. the winding-up or re-organisation of the Customer, or the death of the Customer (as applicable);
 - 4.3. if applicable, the Customer acting or purporting to act beyond the power conferred upon it by its Constitution or Memorandum and Articles of Association (as applicable) or without the power or authority of its Board of Directors or otherwise by reason of any want of power to enter into any Transaction with IG; or
 - 4.4. any amendment from time to time to the Customer Agreements according to their terms.
5. Any receipt by IG of monies owed by the Customer from any person other than the Guarantor will reduce the outstanding balance only to the extent of the amount received.
6. Before enforcing this deed, IG shall not be obliged to take any action or obtain any judgment, nor make or file any claim in the bankruptcy, dissolution or winding up of the Customer.
7. The Guarantor agrees that in any litigation relating to this deed, the aforesaid obligations or any security therefor, the Guarantor waives:
 - 7.1. the right to interpose any defence based upon any statute of limitations; and/or
 - 7.2. any claim of laches or set-off or counter-claim of any nature; and/or
 - 7.3. any rights of subrogation and indemnity against the Customer.
8. The Guarantor represents and warrants that:
 - 8.1. it has read and understood the terms of this deed; and
 - 8.2. it has the power to exercise its rights and perform its obligations under this deed and agrees to be bound by it; and
 - 8.3. this deed has been duly signed and delivered by the Guarantor; and
 - 8.4. its obligations described in this deed are legal, valid and binding obligations in accordance with their terms.

GUARANTEE AND INDEMNITY (CONTINUED)

9. No warranty or representation (whether oral or otherwise) has been made by or on behalf of IG to induce the Guarantor to enter this deed and the Guarantor has made and will continue to make, without reliance on IG, independent investigation of the financial condition and affairs of the Customer and assessment of the creditworthiness of the Customer. The Guarantor further acknowledges that IG has no present or future duty or responsibility to provide the Guarantor with any information relating to the financial condition or affairs of the Customer.
10. No failure or delay by IG in exercising any right or remedy shall operate as a waiver thereof nor shall any single or partial exercise of waiver of any right or remedy produce its further exercise or the exercise of any other right or remedy.
11. Each of the provisions of this deed is severable and distinct from the others and, if at any time, one or more of such provisions is or becomes invalid, illegal or unenforceable, the validity, legality and enforceability of the remaining provisions hereof shall not in any way be affected or impaired hereby.
12. The Guarantor agrees to provide IG with such information and copies of such documents as are reasonably requested by IG for the purposes of IG determining whether to enter into, or to continue to rely on, this deed including, without limitation, evidence showing the Guarantor does in fact have sufficient funds with which to guarantee the obligations of the Customer under this deed.

GOVERNING JURISDICTION

This deed shall be construed and interpreted according to the laws of the DIFC, and the courts of the DIFC shall have exclusive jurisdiction to hear and determine any dispute arising out of the present deed except that IG also has the right to pursue its rights under this deed in any other jurisdiction.

EXECUTED AS A DEED

Where the Guarantor is an individual:

THE GUARANTOR

This deed is signed by the Guarantor:

Name: _____

 Signature: _____ Date: _____

IN THE PRESENCE OF:

Name of witness: _____

Address: _____

Postcode: _____

 Signature: _____ Date: _____

Or where the Guarantor is a company, in compliance with its constitutional documents:

(I) SIGNED BY THE DIRECTOR

This deed is signed by the Director:

Name: _____

 Signature: _____ Date: _____

IN THE PRESENCE OF:

Name of witness: _____

Address: _____

Postcode: _____

 Signature: _____ Date: _____

(II) SIGNED BY THE DIRECTOR/COMPANY SECRETARY

This deed is signed by the Director/Company Secretary:

Name: _____

 Signature: _____ Date: _____

IN THE PRESENCE OF:

Name of witness: _____

Address: _____

Postcode: _____

 Signature: _____ Date: _____

CERTIFIED BOARD RESOLUTION

I (name): _____

Company Secretary/Director of (Name of Company): _____ (the '**Company**') _____

certify that the following resolutions were duly passed by the Directors of the Company at a meeting held on

Date: _____

IT WAS RESOLVED AS FOLLOWS:

1. That the following account(s) (the '**Account(s)**') be opened with IG Limited ('**IG**') in the name of the Company for the purpose of entering into Contracts For Differences (CFDs) and/or (b) buying, selling and investing in shares, Exchange Traded Funds, Exchange Traded Commodities and any other securities; and (c) any transactions related or ancillary to any of the aforementioned..
2. That customer agreements be entered into with IG in connection with the opening of the Accounts in such form as IG shall require (the '**Agreements**') and that all transactions entered into by the Company will be subject to the terms of the Agreements as amended from time to time.
3. That each of the persons whose names and specimen signatures appear in the attached authorised signatories list (the '**Authorised Signatories**') shall be and are hereby jointly and severally authorised to sign any document in connection with the opening or operation of the Account(s), including (but without limitation) the Agreements and any document creating, perfecting or relating to any mortgage, charge or encumbrance over the Company's assets and to give any oral or written instructions to IG with respect to the Account(s) including (but without limitation) instructions to effect or otherwise enter into transactions with or on behalf of the Company.
4. That any transactions of any description whatsoever previously entered into by the Company with or through IG be and are hereby ratified and approved.
5. That these Resolutions be communicated to IG and shall remain in force and that IG shall be entitled to rely on the same until an amending resolution shall be passed and a copy certified by an officer of the Company shall have been received by IG.
6. That the Authorised Signatories shall be and are hereby jointly and severally authorised to open (including to sign any document in connection with the opening or operation) of any additional account(s) with IG on behalf of the Company.

I further certify that there is no legal or other reason why the Company should not conduct this business.

 Signature of Company Secretary/Director: _____ Date: _____

WHAT TO DO NEXT

1. Please check:

- you have fully completed all sections of the application form
- all the appropriate people have signed the form and the authorised signatory list in the schedule
- you have enclosed the required proof of identity, and copy of an authorised signatory list

If you have any questions please call us on +971(0) 4 559 2000 or email helpdesk.ae@ig.com

2. Return your application to:

IG Limited Level 27,
Tower 2, Al Fattan
Currency House,
DIFC,
Dubai, UAE
or email helpdesk.ae@ig.com



Once you have completed your details, please:

- ☒ Print this form
- ☒ Sign it (in the presence of an independent witness if you are an individual)
- ☒ Return it to us

SCHEDULE

AUTHORISED PERSONS LIST:

Authorised signatories: _____ (name of Company)

as at: _____ (date)

NAME	DATE OF BIRTH (DD/MM/YYYY)	SIGNATURE



Signed: _____

Name of Director signing: _____