



## BEFORE YOU START

This form is for New Zealand incorporated companies only. For Australian incorporated companies please complete the online form available at [www.ig.com/au/create-account](http://www.ig.com/au/create-account).

We require a bank statement in the name of the company if you are applying for a CFD account in the name of the Company.

This application allows you to establish a CFD account with us.

For more information on how we might use your data, see our Privacy Notice and Access Policy and Privacy Website available at [www.ig.com/au/privacy](http://www.ig.com/au/privacy)

## 1. COMPANY DETAILS

Is the company incorporated in New Zealand?  Yes  No

Full name of company: \_\_\_\_\_

New Zealand company number: \_\_\_\_\_

Legal Entity Identifier (LEI): \_\_\_\_\_

Date of incorporation: \_\_\_\_\_

Registered office address (cannot be PO Box): \_\_\_\_\_

Street address (this cannot be a PO Box): \_\_\_\_\_

## 2. COMPANY DIRECTORS

Please provide the full names of all Company Directors below. If there are more than 4 directors, please provide an attachment with these details.

Director 1:

Title: \_\_\_\_\_

First name (no abbreviations): \_\_\_\_\_

Middle name: \_\_\_\_\_

Surname: \_\_\_\_\_

Date of birth: \_\_\_\_\_

Approx. annual income before tax over last 3 years \_\_\_\_\_

Approximate value of savings and investments \_\_\_\_\_

Residential address (cannot be a PO Box): \_\_\_\_\_  
\_\_\_\_\_

Mobile phone: \_\_\_\_\_

Email address: \_\_\_\_\_

Authorised signatory on the account:  Yes  No  
\_\_\_\_\_

Director 2:

Title: \_\_\_\_\_

First name (no abbreviations): \_\_\_\_\_

Middle name: \_\_\_\_\_

Surname: \_\_\_\_\_

Date of birth: \_\_\_\_\_

Approx. annual income before tax over last 3 years \_\_\_\_\_

Approximate value of savings and investments \_\_\_\_\_

Residential address (cannot be a PO Box): \_\_\_\_\_  
\_\_\_\_\_

Mobile phone: \_\_\_\_\_

Email address: \_\_\_\_\_

Authorised signatory on the account:  Yes  No  
\_\_\_\_\_

Director 3:

Title: \_\_\_\_\_

First name (no abbreviations): \_\_\_\_\_

Middle name: \_\_\_\_\_

Surname: \_\_\_\_\_

Date of birth: \_\_\_\_\_

Approx. annual income before tax over last 3 years \_\_\_\_\_

Approximate value of savings and investments \_\_\_\_\_

Residential address (cannot be a PO Box): \_\_\_\_\_  
\_\_\_\_\_

Mobile phone: \_\_\_\_\_

Email address: \_\_\_\_\_

Authorised signatory on the account:  Yes  No  
\_\_\_\_\_

Director 4:

Title: \_\_\_\_\_

First name (no abbreviations): \_\_\_\_\_

Middle name: \_\_\_\_\_

Surname: \_\_\_\_\_

Date of birth: \_\_\_\_\_

Approx. annual income before tax over last 3 years \_\_\_\_\_

Approximate value of savings and investments \_\_\_\_\_

Residential address (cannot be a PO Box): \_\_\_\_\_  
\_\_\_\_\_

Mobile phone: \_\_\_\_\_

Email address: \_\_\_\_\_

Authorised signatory on the account:  Yes  No  
\_\_\_\_\_

### 3. NOMINEE DIRECTORS

A Nominee Director is an individual that carries out their role of Director, in accordance with the directions or instructions of another person who is not a director of the company.

Do any directors act in their capacity, as a Nominee Director?

No

Yes - Please provide the full name(s) of any Nominee Director(s) \_\_\_\_\_

### 4. COMPANY BENEFICIAL OWNERS

A beneficial owner is defined as an individual who owns at least 25 per cent of the issued capital in a company through one or more share holdings. Please provide the full names of all beneficial owners below. If there are more than 4 beneficial owners, please provide an attachment with these details.

Beneficiary owner 1:

Title: \_\_\_\_\_

First name (no abbreviations): \_\_\_\_\_

Middle name: \_\_\_\_\_

Surname: \_\_\_\_\_

Date of birth: \_\_\_\_\_

Residential address (cannot be a PO Box): \_\_\_\_\_

\_\_\_\_\_

Beneficiary owner 2:

Title: \_\_\_\_\_

First name (no abbreviations): \_\_\_\_\_

Middle name: \_\_\_\_\_

Surname: \_\_\_\_\_

Date of birth: \_\_\_\_\_

Residential address (cannot be a PO Box): \_\_\_\_\_

\_\_\_\_\_

Beneficiary owner 3:

Title: \_\_\_\_\_

First name (no abbreviations): \_\_\_\_\_

Middle name: \_\_\_\_\_

Surname: \_\_\_\_\_

Date of birth: \_\_\_\_\_

Residential address (cannot be a PO Box): \_\_\_\_\_

\_\_\_\_\_

Beneficiary owner 4:

Title: \_\_\_\_\_

First name (no abbreviations): \_\_\_\_\_

Middle name: \_\_\_\_\_

Surname: \_\_\_\_\_

Date of birth: \_\_\_\_\_

Residential address (cannot be a PO Box): \_\_\_\_\_

\_\_\_\_\_

## 5. COMPANY CONTROLLERS

Provide the full name of all Controller. A Controller is any individual who makes decisions about financial and operating policies. Please provide the full names of all company Controller below. If there a more than 2 company Controller, please provide an attachment with these details.

Title: _____
First name (no abbreviations): _____
Middle name: _____
Surname: _____
Date of birth: _____
Residential address (cannot be a PO Box): _____ _____
Mobile phone: _____
Email address: _____

Title: _____
First name (no abbreviations): _____
Middle name: _____
Surname: _____
Date of birth: _____
Residential address (cannot be a PO Box): _____ _____
Mobile phone: _____
Email address: _____

Title: _____
First name (no abbreviations): _____
Middle name: _____
Surname: _____
Date of birth: _____
Residential address (cannot be a PO Box): _____ _____
Mobile phone: _____
Email address: _____

Title: _____
First name (no abbreviations): _____
Middle name: _____
Surname: _____
Date of birth: _____
Residential address (cannot be a PO Box): _____ _____
Mobile phone: _____
Email address: _____

## 6. PREVIOUS TRADING EXPERIENCE

### QUESTION 1:

Over the past three years, to what extent has the Company or its Director(s) traded the following products?

#### SHARES AND BONDS

- > 20 times                       10 to 20 times                       < 10 times

Exchange-traded derivatives (e.g. warrants, future or options)

- > 20 times                       10 to 20 times                       < 10 times

OTC derivatives (e.g. CFDs, including forex)

- > 20 times                       10 to 20 times                       < 10 times

### QUESTION 2:

How has the Company or its Director(s) mostly traded these products?

- Independently or with advice                       Using managed funds

### QUESTION 3:

Does the Company or its Director(s) have any experience or qualifications relevant to the understanding of our products?

- Yes, from a relevant role in a financial institution                       Yes, a relevant professional qualification or education  
 Yes, both of the above                       No

#### ADDITIONAL INFORMATION

Are any Directors a current or previous bankrupt?

- No                       Yes, please give details: \_\_\_\_\_

Please confirm the purpose of business with IG: \_\_\_\_\_

A Nominee Director is an individual that carries out their role of Director, in accordance with the directions or instructions of another person who is not a director of the company.

Do any directors act in their capacity, as a Nominee Director?

- No  
 Yes - Please provide the full name(s) of any Nominee Director(s) \_\_\_\_\_

Approximate value of funds available for trading with IG (NZ\$): \_\_\_\_\_

Source of funds used for your trading with us:                       Company Funds                       Other (please specify): \_\_\_\_\_

Please specify the nature of the company's business activity.  
\_\_\_\_\_  
\_\_\_\_\_

## APPLICANT DECLARATION AND AGREEMENT

I, for and on behalf of the Client, am applying for a CFD account. The CFD account is for trading purposes only.

CFD accounts are provided by IG Australia Pty Ltd (NZBN 9429047618251, Company No. 7639257), regulated by the New Zealand Financial Markets Authority and the holder of a Derivatives Issuer Licence (FSP No. 684191).

Before the Client opens a CFD account with us, you should ensure the Client understands the nature and risks associated with trading CFDs, including those that are set out in the Risk Disclosure Notice.

I hereby confirm:

- I have read the Risk Disclosure Notice.
- I confirm I am aged 18 years or over and I undertake to notify IG if there is any change to the Client's status such that any one or more of the statements herein becomes untrue.
- I confirm that I will notify IG promptly of any changes to the information provided, including, but not limited to, any change in the controlling parties or beneficial ownership.
- I confirm that each of the persons whose names and specimen signatures appear in the Authorised Persons List (the "Authorised Signatories") shall be and are hereby jointly and severally authorised to sign any document in connection with the opening or operation of the Account(s), including (but without limitation) the Agreement and any document creating, perfecting or relating to any mortgage, charge or encumbrance over the Company's or the Trust's assets and to give any oral or written instructions to IG with respect to the Account(s) including (but without limitation) instructions to effect or otherwise enter into transactions with or on behalf of the Company.
- I confirm that the board of directors/trustees also resolved to authorise the directors/trustees listed herein to open additional accounts with IG on behalf of the company/trust.
- I consent to IG obtaining a Legal Entity Identifier (LEI) on behalf of the Client under certain circumstances, including where our Transactions need to be reported under Applicable Regulations.
- The information given by me in this form is true and correct.

I, for and on behalf of the Client, have read and agree to be bound by:

- the Margin Trading Customer Agreement
- the Product Disclosure Statement
- any relevant Supplementary Product Disclosure Statement, which I agree are provided to me on the IG website.

I confirm, for and on behalf of the Client, that the Client will not supply, show or make available or permit to be supplied, shown or made available any market data from any service therefore, provided by IG, to any third party in any manner. I also confirm, for and on behalf of the Client, that the Client will not use any data from the service to establish, maintain or provide, or assist in providing a market for trading in securities or other financial instruments which is not authorised by law.

I consent to IG and its agents or service providers electronically verifying my identity and the identity of individuals associated with the Client, such as the officeholders and beneficial owners. IG and its agents or service providers may access, use and disclose personal information such as the names, dates of birth, gender and residential addresses or other information that has been provided by me to a credit reporting agency or identity verification service for the purposes of undertaking electronic identity verification. I acknowledge that this matching process may involve the use of third-party systems and services and that the personal information may be transmitted between Australia and New Zealand (as relevant). I confirm that I am duly authorised by the individuals associated with the Client to provide their personal information to IG and its agents or service providers for these purposes.

**APPLICANT DECLARATION AND AGREEMENT (CONTINUED)**

I have also read and consent to the Privacy Notice and Access Policy, including any offshore disclosure of my/our personal information specified therein.

Signed for and on behalf of the Company: \_\_\_\_\_

Signature (Director 1): \_\_\_\_\_ Date: \_\_\_\_\_

Signature (Director 2): \_\_\_\_\_ Date: \_\_\_\_\_