



# APPLICATION TO OPEN A MARGIN TRADING ACCOUNT (PERSONAL)

## PERSONAL INFORMATION

Please complete all details. Our regulator requires that we obtain this information from you, and we are unable to open your accounts without it.

### 01 | PERSONAL DETAILS

Surname: \_\_\_\_\_ Country: \_\_\_\_\_

Forenames: \_\_\_\_\_ Where did you hear about us?: \_\_\_\_\_

Title (Mr/Mrs/Ms/Other): \_\_\_\_\_ Date of birth: \_\_\_\_\_

Home address: \_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_ Postcode: \_\_\_\_\_

### 02 | CONTACT DETAILS

Main contact number (mandatory): \_\_\_\_\_ Email address (mandatory): \_\_\_\_\_

### 03 | TAX STATUS

#### Please could you confirm:

The country or countries where you are a tax resident: \_\_\_\_\_

Whether you are a US citizen?  Yes  No

A US citizen has been defined by the IRS as: an individual born in the United States; an individual born in Puerto Rico, Guam or the US Virgin Islands; a former alien who has been naturalised as a US citizen or an individual whose parent is a US citizen. For further information please visit [www.irs.gov/Individuals/International-Taxpayers/Foreign-Persons](http://www.irs.gov/Individuals/International-Taxpayers/Foreign-Persons)

Your taxpayer identification number (TIN) if you are a US citizen or a tax resident in the US, the UK Crown Dependencies (Jersey, Guernsey, and the Isle of Man), or Gibraltar: \_\_\_\_\_

### 04 | EMPLOYMENT DETAILS

Employment status:  Employed  Self-Employed  Retired  Unemployed

Occupation: \_\_\_\_\_ Industry: \_\_\_\_\_

### 05 | FINANCIAL DETAILS

Approx. annual income before tax: R \_\_\_\_\_ Approx. value of savings and investments (excluding property): R \_\_\_\_\_

Source of funds used for your trading us:  Employment  Inheritance  Investment  Other (please specify)

Other: \_\_\_\_\_

### 06 | STATEMENT INSTRUCTIONS

We will send statements for your domestic (on-shore) accounts by email. In the absence of other instructions from you, we will also send statements for your international (off-shore) accounts by email. If you would prefer to receive these by post, please tick this box:

Please note that postal statements will incur a postal charge of £5 per statement.

## YOUR KNOWLEDGE AND EXPERIENCE

Please complete the following section so we can assess the appropriateness of our services for you. Please note that we are required to make this assessment by law. It may be that we do not consider the account type you have selected to be appropriate for you. If this is the case, we will contact you to discuss further options.

1. To what extent over the past 3 years have you traded the following?

Shares and/or bonds  Frequently  Sometimes  Rarely/never

Exchange-traded derivatives (e.g. warrants, futures or options)  Frequently  Sometimes  Rarely/never

OTC derivatives (e.g. CFDs, forex)  Frequently  Sometimes  Rarely/never

2. How have you mostly traded these products?  Execution-only and/or Advisory  Managed  Never

3. Do you have particular experience or qualifications which would assist your understanding of our services?  Yes  No

If yes:

Occupational experience: I have a good knowledge of OTC, leveraged derivatives through working in the financial sector

Qualifications: I have a good knowledge of OTC, leveraged derivatives because of a relevant professional qualification and/or my education or relevant training

## MARKETING CONSENT

I consent to receive electronic communications from IG and IG Group companies about trading ideas and their products and services.  Yes  No

## DECLARATIONS

CFDs are complex instruments and come with a high risk of losing money rapidly due to leverage. **70% of retail investor accounts lose money when trading CFDs with this provider.** You should consider whether you understand how CFDs work, and whether you can afford to take the high risk of losing your money. Professionals can lose more than they deposit. All trading involves risk.

IG Markets South Africa Limited offers domestic (onshore) accounts and IG Markets Limited offers international (offshore) accounts. IG is a trading name of IG Markets Limited and IG Markets South Africa Limited. IG provides execution only services and enters into principal to principal transactions with its clients on IG's prices. Such trades are not on exchange. Whilst IG Markets South Africa Limited is a regulated FSP (number 41393), CFDs issued by IG are not regulated by the FAIS Act as they are undertaken on a principal-to-principal basis. CFDs issued by IG Markets South Africa Limited are regulated by the Financial Markets Act, and IG Markets South Africa Limited is a licenced over-the-counter derivative provider.

- i. **Risks:** I confirm that I understand the nature and risks of margin trading via CFDs and have read both the South African Risk Disclosure Notice (available at IG.com) for domestic (onshore) accounts and the UK Risk Disclosure Notice (available at IG.com) for international (offshore) accounts.
- ii. **Terms and Conditions:** I confirm that I have read, understand and agree to be bound by the following terms and conditions and I consent to such terms and conditions being provided to me by way of IG's websites:
  - (a) South African Margin Trading Customer Agreement and SA Summary Conflicts Policy (available at IG.com), which will govern the operation of my domestic (onshore) account; and
  - (b) UK Margin Trading Customer Agreement, Summary Order Execution Policy, Summary Conflicts Policy and IGMSA Statutory FAIS Disclosures (available IG.com), which will govern the operation of my international (offshore) accounts.
- iii. **Age:** I confirm that I am aged 18 years or over.
- iv. **Privacy Notice and Access Policy:** For more information on how we use your data, see IG's South African Privacy Notice and Access Policy and UK Privacy Notice and Access Policy which are available at <https://www.ig.com/za/privacy>. I also agree to IG's UK Privacy Notice and Access Policy.
- v. **Non-professional user:** I confirm that I am a non-professional user\* for market data purposes or if I am a professional user I confirm that I will immediately contact [helpdesk.za@ig.com](mailto:helpdesk.za@ig.com).
- vi. **Consent to credit references:** I consent to you contacting, requesting and obtaining credit information from any credit agency and any other party for any purpose including to verify my identity, perform an assessment of my behaviour, profile, payment patterns, indebtedness, whereabouts and creditworthiness or risk associated with doing business with me or another related purposes in any format available from the credit agency and to continuously access my information from the credit agency for as long as I may have a relationship with you and for 24 months thereafter. I consent to you submitting my information, including payment profile, default information, regular updates about the conduct of my accounts, including my failure to meet any agreed terms and conditions and any other relevant information, to the credit agency and to allow them to release the information for lawful purposes to third parties. I also agree that the credit reference agencies may, in turn, make my records and details available to other credit grantors. I further consent to you carrying out identify and fraud prevention checks and sharing information only as necessary and only in relation to this application and my subsequent accounts.
- vii. I certify that the information given by me in this form is true and correct.



Signature: \_\_\_\_\_

Date: \_\_\_\_\_

### ALL APPLICATIONS MUST BE ACCOMPANIED BY A PHOTOCOPY OF ANY OF THE FOLLOWING:

- GREEN BAR-CODED IDENTITY DOCUMENT
- SMART CARD – PLEASE SEND A COPY OF THE FRONT AND BACK OF THE CARD
- PASSPORT – FOR NON-SOUTH AFRICAN CITIZENS

## WHAT TO DO NEXT

### 1. Please check:

- you have fully completed all sections of the application form;
- you have enclosed the required proof of identity
- you have signed the form fully and correctly

If you have any questions please call us on 0103440051 or email [sales.za@ig.com](mailto:sales.za@ig.com)

### 2. Return your application to:

IG Account Opening  
The Marc, Tower 2, 129 Rivonia Road, Sandton, Gauteng, 2196,  
South Africa or email [accopening.za@ig.com](mailto:accopening.za@ig.com)

**IG MARKETS SOUTH AFRICA LIMITED** The Marc, Tower 2, 129 Rivonia Road,  
Gauteng, 2196, South Africa T +27 (0)10 344 0051 F +27 (0)11 467 8501  
E [helpdesk.za@ig.com](mailto:helpdesk.za@ig.com) W [IG.com](http://IG.com)

**IG MARKETS LIMITED** Cannon Bridge House, 25 Dowgate Hill, London EC4R 2YA  
T 0800 195 8009 or +44 20 7633 5320 F +44 20 7896 0010 E [helpdesk.uk@ig.com](mailto:helpdesk.uk@ig.com) W [IG.com](http://IG.com)



Once you have completed your details, please:

- Print this form
- Sign it
- Return it to us