

**BEFORE YOU START**

This form enables you (the **'Company'**) to apply for corporate client account(s) with us, IG Europe GmbH. To make this application you will need some important pieces of information at hand, and the appropriate individuals will need to provide their details and sign the form.

**WHO SHOULD SIGN THIS FORM?**

**On behalf of the applicant**

- In the case of a company, the director(s) or authorised representative(s)
- In the case of a partnership, the partner(s) or authorised representative(s)

**On behalf of the guarantor**

- The individual guarantor **OR** if a company is the guarantor, the director(s) of the company or its authorised representative(s)

**WHAT YOU NEED TO KNOW**

Before you apply, it is important to read our Risk Disclosure Notice, Precontractual Information (CFD, Options and Turbo Warrants - Ireland), CFD Customer Agreement (Ireland), Options Customer Agreement (Ireland) and Turbo Warrants Customer Agreement (Ireland), Notice of Specific Consents (CFD, Options and Turbo Warrants – Ireland, Order Execution Policy, Costs and Charges, Conflicts Policy, Complaints Policy, Key Information Documents (CFD), Key Information Documents (Options), Key Information (Turbo Warrants), and Privacy Notice and Access Policy. You can find them all at [www.ig.com/ie/terms-and-agreements](http://www.ig.com/ie/terms-and-agreements)

**LEI NUMBER (LEGAL ENTITY IDENTIFIER)**

An LEI number is your company's unique 20-character code which you or your counterparty will use to identify your financial transactions in accordance with EMIR and MiFID II reporting requirements. This is a mandatory requirement for all entities trading with IG Europe GmbH and any other subsidiary (*Konzernunternehmen*)\* of the IG Group of which IG Group Holdings plc of Cannon Bridge House, 25 Dowgate Hill, London EC4R 2YA is the holding Company\* (**'IG'**).

LEI Number: \_\_\_\_\_

\*Within the meaning of Section 18 of the German Securities Act (Aktiengesetz).

**BOARD RESOLUTION**

If your board/shareholders must hold a meeting and pass certain resolutions to enable your company to open an account with us, we will ask you to certify that this has happened in the certified board resolution section. The board must also authorise people to trade on your company's account (**'Authorised Signatories'**). The Authorised Signatories must sign the authorised signatories list in the schedule.

**SUPPORTING DOCUMENTS NEEDED**

You must enclose the following supporting documentation with the Company's completed account application form:

- copy of the memorandum and articles of association (as applicable);
- copy of the certificate of incorporation or trade register excerpt (as applicable);
- copy of the most recent account statements;
- proof of registered address (recent annual return) (if not already provided in the trade register excerpt);
- proof of business (postal) address if different to registered address (recent copy bill or bank statement displaying the Company's name and business address);
- copy of register of shareholders (if not already provided in the trade register excerpt) **PLUS** verification of the identity of shareholders with a holding of 25% or more. For individual shareholders, please provide a copy of passport and a recent copy of residential utility bill for each. If a shareholder with a shareholding of 25% or more is a corporate entity please provide all the information in this list for each entity; and
- recent bank statement confirming the bank details that will be used to fund the account.

We may contact you for further information/documentation.

**WE ARE NOT ABLE TO OPEN YOUR ACCOUNT(S) WITHOUT THESE SUPPORTING DOCUMENTS**

**COMPLETING THE FORM**

- Please complete all sections **IN FULL** and in **BLOCK CAPITALS**
- If you have any questions, please call us on 0800 195 8009 (+44 207 896 0011)

## COMPANY INFORMATION

The Company wishes to apply for the following account(s):

Contracts for Difference (CFDs)       Turbo Warrants       Options (please tick as appropriate)

### 01 | COMPANY DETAILS

Full name of company: \_\_\_\_\_

Registered address: \_\_\_\_\_ Business (postal) address: \_\_\_\_\_  Same as registered address

\_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_ Postcode: \_\_\_\_\_ Postcode: \_\_\_\_\_

Nature of the business: \_\_\_\_\_

### 02 | REGULATION

Is the Company regulated by the Federal Financial Supervisory Authority or similar regulator?  Yes  No

If 'Yes' please state the name of the regulator and regulatory number: \_\_\_\_\_

### 03 | CONTACT DETAILS

Contact number (mandatory): \_\_\_\_\_

Email address (for account correspondence): \_\_\_\_\_

### 04 | BANK DETAILS

Name of bank: \_\_\_\_\_

Account name: \_\_\_\_\_ Sort code/BIC: \_\_\_\_\_

Account No./IBAN: \_\_\_\_\_ Approx. funds available to the Company's trading with IG: € \_\_\_\_\_

## 05 | IDENTIFICATION OF DIRECTOR(S)

We need to verify the identity of the director(s) or authorised representative(s) signing this form before the account can be opened.

### SOLE DIRECTOR/REPRESENTATIVE / DIRECTOR 1/ REPRESENTATIVE 1:

Full name: \_\_\_\_\_

Residential address: \_\_\_\_\_  
\_\_\_\_\_

Postcode: \_\_\_\_\_

Date of Birth: \_\_ \_\_ / \_\_ \_\_ / \_\_ \_\_ \_\_ \_\_

1. Are any directors currently or previously bankrupt?  Yes  No

If the answer is 'Yes', please give details: \_\_\_\_\_

### DIRECTOR 2/REPRESENTATIVE 2:

Full name: \_\_\_\_\_

Residential address: \_\_\_\_\_  
\_\_\_\_\_

Postcode: \_\_\_\_\_

Date of Birth: \_\_ \_\_ / \_\_ \_\_ / \_\_ \_\_ \_\_ \_\_

## 06 | STATEMENT INSTRUCTIONS

In the absence of other instructions from you, we will send statements by email. If you would prefer to receive these by post, please tick this box:

Please note that postal statements will incur a charge of 1 EUR per statement.

## KNOWLEDGE AND EXPERIENCE

The law requires us to assess whether our services are appropriate for the Company. We will use the information below to make this assessment. If there are any issues, we will contact you to discuss further options. It is your responsibility to inform us of any information changes or if the authorised traders change.

1. Over the past three years, to what extent has the Company traded the following?

Shares and/or bonds  More than 20 times  10 to 20 times  Less than 10 times

Exchange-traded derivatives  
(e.g. options, futures, turbo warrants including forex)  More than 20 times  10 to 20 times  Less than 10 times

OTC derivatives  
(CFDs, spread bets, options, OTC futures, swaps including forex)  More than 20 times  10 to 20 times  Less than 10 times

2. How has the Company mostly traded these products?  Execution-only and/or Advisory  Managed

3. Do the officer(s) of the Company who will be making the Company's trading decisions have particular experience or qualifications which would assist the Company's understanding of our services?  Yes  No

If 'Yes', please tick as applicable:

Experience: the officer(s) have a good knowledge of OTC, leveraged derivatives through working in the financial sector

Qualifications: the officer(s) have a good knowledge of OTC, leveraged derivatives because of a relevant professional qualification and/or education

### Optional:

4. Please provide us with any further information about the Company's knowledge and experience that will help us assess whether our services are appropriate for the Company:

## EMIR CLASSIFICATION

Under EMIR legislation, we require confirmation of which type of counterparty you are, please see details below.

Please indicate below;

- Financial Counterparty plus     Non-Financial Counterparty Plus     Non-Financial Counterparty minus  
 Financial Counterparty minus     Other type \_\_\_\_\_

If you have selected Non-Financial Counterparty minus above, Please confirm if you are currently undertaking EMIR reporting of traded derivative contracts to a recognised trade repository (TR) yourselves?

- Yes     No

You are an FC+ or an NFC+ if your annual gross notional value of derivatives traded is above any of the thresholds outlined below. You are an FC- or an NFC- if you are below all of the thresholds.

| ASSET CLASS                               | CLEARING THRESHOLD |
|---|--------------------|
| Credit derivative contracts               | €1 billion         |
| Equity derivative contracts               | €1 billion         |
| Interest rate derivative contracts        | €3 billion         |
| Foreign exchange derivative contracts     | €3 billion         |
| Commodity derivative contracts and others | €4 billion         |

### TRADING ACTIVITY

If you are a Non-Financial Counterparty, please also select which option below best applies your trading;

My trades are for treasury financing and/or commercial activity\*

- Yes     No

### FINANCIAL COUNTERPARTY

Please indicate the type of Financial Counterparty You are (multiple selections permitted, where more than one activity applies, please number in order of the relative importance of the corresponding activities, 1 being the most relevant activity):

- Assurance undertaking authorised in accordance with Directive 2009/138/EC  
 Credit institution authorised in accordance with Directive 2013/36/EU  
 Investment firm authorised in accordance with Directive 2014/65/EU  
 Insurance undertaking authorised in accordance with Directive 2009/138/EC  
 Alternative investment fund managed by AIFMs authorised or registered in accordance with Directive 2011/61/EU  
 Institution for occupational retirement provision within the meaning of Article 6(a) of Directive 2003/41/EC  
 Reinsurance undertaking authorised in accordance with 2009/138/EC  
 Undertakings for the Collective Investment in Transferable Securities (UCITS) and its management company, authorised in accordance with Directive 2009/65/EC of the European Parliament and of the Council

## EMIR CLASSIFICATION (CONTINUED)

### NON-FINANCIAL COUNTERPARTY

Please indicate the type of Non-Financial Counterparty You are (multiple selections permitted, where more than one activity applies, please number in order of the relative importance of the corresponding activities, 1 being the most relevant activity):

- Agriculture, forestry and fishing  
 Mining and quarrying

## EMIR CLASSIFICATION (CONTINUED)

- Manufacturing
- Electricity, gas, steam and air conditioning supply
- Water supply, sewerage, waste management and remediation activities
- Construction
- Wholesale and retail trade, repair of motor vehicles and motorcycles
- Transportation and storage
- Accommodation and food service activities
- Information and communication
- Financial and insurance activities
- Real estate activities
- Professional, scientific and technical activities
- Administrative and support service activities
- Public administration and defence; compulsory social security
- Education
- Human health and social work activities
- Arts, entertainment and recreation
- Other service activities
- Activities of households as employers; undifferentiated goods – and services –producing activities of households for own use
- Activities of extraterritorial organisations and bodies

## DECLARATIONS

CFDs are complex instruments and come with a high risk of losing money rapidly due to leverage. **74% of retail investor accounts lose money when trading CFDs with this provider.** You should consider whether you understand how CFDs work, and whether you can afford to take the high risk of losing your money. Professional clients can lose more than they deposit. Options and Turbo Warrants are complex financial instruments and your capital is at risk. Losses may be extremely rapid.

I confirm that the Company understands the nature and risks of margin trading via CFDs and options and turbo warrants trading (as applicable). I consent to the provision to the Company of the Risk Disclosure Notice, Notice of Specific Consents (CFD, Options and Turbo Warrants - Ireland), Costs and Charges, Order Execution Policy, Key Information Documents (CFD), Key Information Documents (Options), Key Information (Turbo Warrants), Complaints Policy, Conflicts Policy, Precontractual Information (CFD, Options and Turbo Warrants - Ireland), CFD Customer Agreement (Ireland), Options Customer Agreement (Ireland) and/or Turbo Warrants Customer Agreement (Ireland) (as applicable) by way of the IG website and I hereby confirm that I have read, understood and that the Company agrees to be bound by the terms of these documents. I also agree to IG's Privacy Notice and Access Policy.

I confirm that I will notify IG immediately of any changes to the information provided, including, but not limited to, any change in beneficial ownership.

Name of director/partner: \_\_\_\_\_

Signature: \_\_\_\_\_ Date: \_\_\_\_\_

Name of director/partner: \_\_\_\_\_

Signature: \_\_\_\_\_ Date: \_\_\_\_\_

## MARKETING CONSENT

We agree that IG or other IG Group companies may inform us about their trading ideas, products, and services via email.

Yes  No

Our consent is given voluntarily and may be withdrawn at any time. Any such withdrawal of our consent shall not affect the lawfulness of the data processing conducted prior to such withdrawal. For further information on how to exercise the right of withdrawal or how we might use your data, please refer to the Privacy Notice and Access Policy and the Information on Data Processing on [www.ig.com/de/datenschutz](http://www.ig.com/de/datenschutz)

## GUARANTEE AND INDEMNITY IN FAVOUR OF IG

### GUARANTEE IN FAVOUR OF

IG Europe GmbH and any other subsidiary (*Konzernunternehmen*)\* of the IG Group of which IG Group Holdings plc of Cannon Bridge House, 25 Dowgate Hill, London EC4R 2YA is the holding Company\* ('IG').

\*Within the meaning of Section 18 of the German Securities Act (Aktiengesetz).

### GUARANTEE FROM

Name of Guarantor: \_\_\_\_\_ (the '**Guarantor**')

Address of Guarantor: \_\_\_\_\_

Postcode: \_\_\_\_\_

(a) \_\_\_\_\_ (name of Company)

(the '**Customer**') has opened an account or accounts with IG (the '**Account(s)**'). In order to enter into any or all of: (1) contracts for differences; (2) options; or (3) any other transaction (each called '**Transactions**') pursuant to the terms and conditions of the applicable IG customer agreement, as available at [www.ig.com/de/AGB](http://www.ig.com/de/AGB), (the '**Customer Agreement**').

(b) When the Customer enters into such Transactions it will incur liabilities and obligations to IG pursuant to the terms of the Customer Agreement.

(c) IG has agreed to allow the Customer to open the Account(s) on the condition that the Guarantor agrees to guarantee the due performance of the Customer's obligations arising under the Customer Agreement and the due payment of any amounts owed to IG by the Customer in accordance with the terms of this guarantee.

### GUARANTEE AND INDEMNITY

1. The Guarantor hereby unconditionally and irrevocably guarantees by way of an independent payment obligation (*selbstständiges Zahlungsversprechen*) to IG to pay in the currency and at the place of payment specified in the Customer Agreement any amount or sums (including any commission, fees, costs, charges, spreads, expenses, taxes or any other amounts) owed by the Customer to IG under the Customer Agreement which has not been paid when due (the '**Guarantee**').
2. Payments under this Guarantee shall be due within five (5) business days of a written demand by IG stating the payments of the relevant amount, commission, fees, costs, charges, spreads, expenses, taxes or any other amounts owed by the Customer under the Customer Agreement and confirming that the sum demanded from the Guarantor corresponds to the sum of any such amounts not paid by the Customer when due. For the avoidance of doubt this Guarantee does not constitute a guarantee upon first demand (*Garantie auf erstes Anfordern*); any rights and/or defences the Guarantor may have with respect to any payment requested under the Guarantee – in each case in accordance with the terms of the Customer Agreement – shall not be affected by the provisions of this Guarantee.

## GUARANTEE AND INDEMNITY

3. IG will not be required to claim payment from the Customer or proceed against the Customer or any other person before claiming any rights under this Guarantee.
4. The Guarantee creates an independent obligation of the Guarantor vis-à-vis IG, irrespective of the validity, legality and enforceability of the Customer's obligations under the Customer Agreement, irrespective of any other security and indemnity, regardless of any defences or objections of the Customer and regardless of any other acts, omissions or matters which relate to and which would reduce, release or prejudice the Customer's obligations under the Customer Agreement. Thus, in particular, neither the payment obligations nor any other rights of IG against the Guarantor under the Guarantee will be extinguished or otherwise affected by any of the following:
  - 4.1. the extension of time or any other suspensions granted to the Customer with respect to the Customer's obligations under the Customer Agreement;
  - 4.2. amendments or waivers regarding the Customer's obligations under the Customer Agreement;
  - 4.3. the winding-up, dissolution or restructuring of the Customer or the appointment of an administrator for its assets or a change in its legal status, function or control or ownership structure; and
  - 4.4. the invalidity, illegality or unenforceability of the Customer's obligations under the Customer Agreement.
5. In any of these cases and in similar cases and irrespective of whether any of the matters described in 4.1 to 4.4 above affect the claims of IG against the Customer, make them unenforceable vis-à-vis the Customer, or cause them to extinguish the claims of IG against the Customer shall for the purposes of this Guarantee be deemed owed and due in accordance with the Customer Agreement.
6. If as a result of insolvency, settlement or any similar proceeding applicable to the Customer or the Guarantor (due to voidable preference or otherwise) IG is obliged to repay monies received in discharge of any obligations under the Customer Agreement, the obligations of the Guarantor under this Guarantee shall revive and the Guarantee may also be continuing in such case until all obligations owed under or in connection with the Customer Agreement have been unconditionally and irrevocably discharged in full.
7. Until all claims of IG under and in connection with the Customer Agreement have been irrevocably paid in full, the Guarantor will not assert any legal or contractual indemnity, compensation, recourse and subrogation claims which it may have by reason of a claim under the Guarantee or accept any payments or other benefits caused by payment under the Guarantee. Any amount received by the Guarantor prior to the abovementioned date.

## GOVERNING JURISDICTION

This guarantee and any non-contractual obligations arising out of or in connection with this guarantee shall be governed by and construed and interpreted according to the laws of the Federal Republic of Germany.

The courts in Frankfurt am Main shall have non-exclusive jurisdiction in relation to this Guarantee.

## EXECUTION

Where the Guarantor is an individual:

### THE GUARANTOR

This guarantee is signed by the Guarantor:

Name: \_\_\_\_\_

 Signature: \_\_\_\_\_ Date: \_\_\_\_\_

Or where the Guarantor is a company, in compliance with its constitutional documents:

### (I) SIGNED BY THE DIRECTOR/AUTHORISED REPRESENTATIVE

This guarantee is signed by the director/authorised representative:

Name: \_\_\_\_\_

 Signature: \_\_\_\_\_ Date: \_\_\_\_\_

### (II) SIGNED BY THE DIRECTOR/COMPANY SECRETARY/AUTHORISED REPRESENTATIVE

This guarantee is signed by the director/company secretary/authorised representative:

Name: \_\_\_\_\_

 Signature: \_\_\_\_\_ Date: \_\_\_\_\_

## CERTIFIED BOARD/SHAREHOLDERS' RESOLUTION

I (name): \_\_\_\_\_

Company secretary/director of (Name of Company): \_\_\_\_\_ (the '**Company**') \_\_\_\_\_

certify that the following resolutions were duly passed by the directors/shareholders of the Company at a meeting held on

Date: \_\_\_\_\_

### IT WAS RESOLVED AS FOLLOWS:

1. That account(s) (the '**Account(s)**') be opened with IG Europe GmbH ('**IG**') in the name of the Company for the purpose of entering into contracts for differences ('CFDs'), options and/or turbo warrants and any other transactions related or ancillary to any of the contracts.
2. That agreement(s) be entered into with IG in connection with the opening of the Accounts in such form as IG shall require (the '**Agreement(s)**') and that all transactions entered into by the Company will be subject to the terms of the Agreement(s) as amended from time to time.
3. That each of the persons whose names and specimen signatures appear in the attached authorised signatories list (the '**Authorised Signatories**') shall be and are hereby jointly and severally authorised to sign any document in connection with the opening or operation of the Account(s), including (but without limitation) the Agreement(s) and any document creating, perfecting or relating to any mortgage, charge or encumbrance over the Company's assets and to give any oral or written instructions to IG with respect to the Account(s) including (but without limitation) instructions to effect or otherwise enter into transactions with or on behalf of the Company.
4. That the Authorised Signatories shall be and are hereby jointly and severally authorised to sign any document in connection with the opening or operation of any additional account(s).
5. That any transactions of any description whatsoever previously entered into by the Company with or through IG be and are hereby ratified and approved.
6. That these resolutions be communicated to IG and shall remain in force and that IG shall be entitled to rely on the same until an amending resolution shall be passed and a copy certified by an officer of the Company shall have been received by IG.

I further certify that there is no legal or other reason why the Company should not conduct this business.

 Signature of company secretary/director: \_\_\_\_\_ Date: \_\_\_\_\_

### WHAT TO DO NEXT

#### 1. Please check:

- you have fully completed all sections of the application form;
- all the appropriate people have signed the form and the authorised signatory list in the schedule;
- you have enclosed the required proof of identity, and copy of an authorised signatory list.

If you have any questions please call us on 0800 195 8009 (+44 20 7896 0011) or email [institutionalsales@ig.com](mailto:institutionalsales@ig.com)

#### 2. Return your application to:

IG Institutional sales desk  
Westhafenplatz 1  
60327 Frankfurt  
or email [info.de@ig.com](mailto:info.de@ig.com)



Once you have completed your details, please:

- Print this form
- Sign it
- Return it to us



# SCHEDULE

## AUTHORISED PERSONS LIST:

Authorised signatories for: \_\_\_\_\_ (name of Company)

as at: \_\_\_\_\_ (date)

| NAME | DATE OF BIRTH (DD/MM/YYYY) | SIGNATURE |
|------|----------------------------|-----------|
|      |                            |           |
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 Signed: \_\_\_\_\_

Name of director signing: \_\_\_\_\_