

**ACCOUNT TYPE**

Please select which type of account you would like to open;

- CFD Trading       Stock Trading

**BEFORE YOU START**

This form enables you to apply for a corporate client account with us. To make this application you will need some important pieces of information at hand, and the authorised individuals will need to provide their details and sign the form.

**WHO SHOULD SIGN THIS FORM?****On behalf of the applicant**

- In the case of a company, two of the directors
- In the case of a partnership, two of the general partners
- In the case of a trust, trustees or trustees' directors (if company)

**On behalf of the guarantor**

- The individual guarantor OR if a company is the guarantor, two directors of the company or one director and the company secretary

**WHAT YOU NEED TO KNOW**

Before you apply, it is important to read our Risk Disclosure Notice, Margin Trading Customer Agreement and/or Stock Trading Customer Agreement (as applicable), Summary Order Execution Policy, Conflict Policy, Complaints Procedure and Privacy Notice and Access Policy. You can find these documents at [IG.com/ae](https://www.ig.com/ae).

**LEI NUMBER (LEGAL ENTITY IDENTIFIER) (IF APPLICABLE)**

All counterparties to a derivative contract must report details of that derivative contract to a trade repository. Your LEI number is your company's unique 20-character code which you will use to report all financial transactions entered into by your company.

LEI number (if applicable): \_\_\_\_\_

**BOARD RESOLUTION**

Your board must hold a meeting and pass certain resolutions to enable your company to open an account with us. We will ask you to certify that this has happened in the certified board resolution section. The board must also authorise people to trade on your company's account ('Authorised Signatories'). The Authorised Signatories must sign the authorised signatories list in the schedule.

**COMPLETING THE FORM**

- Please complete all sections **IN FULL** and in **BLOCK CAPITALS**
- If you have any questions, please call us on +971 (0) 4 559 2000 or email: [sales.ae@ig.com](mailto:sales.ae@ig.com)

**01 | COMPANY DETAILS**

Full name of company/partnership/trust: \_\_\_\_\_ (the 'Company')

Registered address\*: \_\_\_\_\_ Business (postal) address\*:  Same as registered address

Country: \_\_\_\_\_ Postcode: \_\_\_\_\_ Country: \_\_\_\_\_ Postcode: \_\_\_\_\_

\*For Trust provide addresses for Trustee if Trust address is not available

Nature of business. Please explain how the company generates its revenue: \_\_\_\_\_

Total value of assets under management: **USD** \_\_\_\_\_

Nature and purpose of the business relationship with IG: \_\_\_\_\_

Please confirm the tax residence(s) of the Company below (if applicable): \_\_\_\_\_

JURISDICTION	TAX IDENTIFICATION NUMBER (TIN)*	IF TIN UNAVAILABLE, PLEASE SPECIFY REASON

\*Please only provide Tax Identification Number(s) where the Company is resident in the US.

Is the Company a Financial Institution?  Yes  No

Financial Institution – FI will be given a FATCA ID called Global Intermediary Identification Number (GIIN). GIIN is a 19-character number that uniquely identifies an entity as having registered with the IRS for purposes of FATCA.

Company GIIN: \_\_\_\_\_

**02 | AML QUESTIONS**

Does the company have any connection with politically exposed persons (PEP - person holding prominent public function)?

No  Yes

If 'Yes' please provide a detailed explanation : \_\_\_\_\_

Does the company have direct or indirect involvement in any sanctioned countries/regions?

No  Yes

If 'Yes' please provide a detailed explanation : \_\_\_\_\_

## 02 | AML QUESTIONS (CONTINUED)

Does the company have direct or indirect involvement in any of the following business areas?

Arms and Ammunition, Atomic power, Currency Exchange, Money Service Business or Money Transfer, Defence and Military, Foreign Correspondent and Intermediary Banking, Payment Services and Electronic Money, Gambling, Betting and Casinos, Marijuana / Narcotics, Red light business / Adult entertainment, Religious Organizations.

No  Yes

If 'Yes' please provide a detailed explanation : \_\_\_\_\_

\_\_\_\_\_

Please indicate all sources of funds for the account(s)(e.g., investment, earnings etc):

\_\_\_\_\_

Does the firm intend to use third party investor / client money for trading?  No  Yes

If 'Yes' please provide a detailed explanation : \_\_\_\_\_

\_\_\_\_\_

Does the firm deal with Crypto assets?  No  Yes

If 'Yes' please provide a detailed explanation : \_\_\_\_\_

## 03 | REGULATION

Is the Company regulated by DFSA or similar regulator?  Yes  No

If 'Yes' please state the name of the regulator and regulatory number: \_\_\_\_\_

## 04 | CONTACT DETAILS

Contact number: \_\_\_\_\_

Email address (for account correspondence): \_\_\_\_\_

## 05 | BANK DETAILS

Name of bank: \_\_\_\_\_

Address of the bank: \_\_\_\_\_

Account name: \_\_\_\_\_ Sort code/BIC: \_\_\_\_\_

Account No./IBAN: \_\_\_\_\_ Company's funds intended to be used to trade with IG: **USD** \_\_\_\_\_

The details must be provided to the bank where you provide the bank statement.





## SUPPORTING DOCUMENTATION NEEDED

DOCUMENTS	CORPORATE	PARTNERSHIP	TRUST
<b>Memorandum and Article of Association</b>	<input checked="" type="checkbox"/>	Partnership Agreement	Trust Deed including copies of all amendments previously lodged
<b>Certificate of Incorporation</b>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	For Trustee (if corporate) Proof of registration from a central national register (if trust is registered)
<b>Most recent annual accounts</b> or approved financial statement or annual financial report (audited if available) Documents should be within last 18 months. If newly established, evidence of the entity's authorised share capital	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	Most recent Trust financial statement
<b>Proof of registered address</b> if not part of other documentation e.g. annual return or print out from the company registry or utility bill or bank statement displaying company's name and registered address	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	For Trustee & For Trust (if available)
<b>Proof of business (postal) address</b> if different to registered address e.g. Utility bill or bank statement displaying the Company's name and business address	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	For Trustee & For Trust (if available)
Copy of the <b>register of directors PLUS verification of the identity of two directors signing this form</b> Please provide a copy of passport or national identity card and a copy of residential utility bill within the last 6 months	<input checked="" type="checkbox"/>	List of <b>General Partners</b> PLUS verification of the identity of two partners signing this form Please provide a copy of passport or national identity card and a copy of residential utility bill	<b>Name of The Trustee and/or Name of Protector</b> (if Protector provided in Trust Deed) together with copy of passport or national identity card and a copy of residential utility bill
Copy of register of <b>shareholders PLUS verification of the identity of direct/indirect shareholders with a holding of 25% or more in aggregate</b> <b>For individual shareholders</b> , please provide a copy of passport or national identity card and a copy of residential utility bill within the last 6 months <b>For Corporate shareholder</b> , please provide documents listed above including registry of shareholders	<input checked="" type="checkbox"/>	List of <b>Limited Partners</b> together with a copy of passport or national identity card and a copy of residential utility bill	List of all Beneficiaries together with copy of passport or national identity card and a copy of residential utility bill within last 6 months for each <b>Name of Trust Settlers/Grantors</b> together with copy of passport or national identity card and a copy of residential utility bill and source of wealth. In case Settlor/Grantor is deceased, please provide death certificate
<b>Bank statement within last 3 months</b> confirming the bank details that will be used to fund the account Your bank statement must show funds you intend to use for trading with IG and show 4 weeks of history. If funds are held elsewhere such as a director or shareholder's account, or in a portfolio, then evidence of these will also be required	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>

For smart ID, please provide a copy of the front and back of the card. Utility bill or bank statement must be within last 6 months.

We may contact you for further information/documentation.

**WE ARE NOT ABLE TO OPEN YOUR ACCOUNT WITHOUT THESE SUPPORTING DOCUMENTS**

## KNOWLEDGE AND EXPERIENCE

Regulation requires us to assess whether our services are appropriate for the Company. We will use the information below to make this assessment. If there are any issues, we will contact you to discuss further options.

1. Over the past three years, to what extent has the Company traded the following?

Shares and/or bonds	<input type="checkbox"/> More than 20 times	<input type="checkbox"/> 10 to 20 times	<input type="checkbox"/> Less than 10 times
Exchange-traded derivatives (eg warrants, futures or options)	<input type="checkbox"/> More than 20 times	<input type="checkbox"/> 10 to 20 times	<input type="checkbox"/> Less than 10 times
OTC derivatives (eg CFD, forex, binaries)	<input type="checkbox"/> More than 20 times	<input type="checkbox"/> 10 to 20 times	<input type="checkbox"/> Less than 10 times

2. How has the Company mostly traded these products?  Execution-only and/or Advisory  Managed

3. Do the officer(s) of the Company who will be making the Company's trading decisions have particular experience or qualifications which would assist the Company's understanding of our services?  Yes  No

If 'Yes', please tick as applicable:

Experience: the officer(s) have a good knowledge of OTC, leveraged derivatives through working in the financial sector  Less than two years  More than two years

Qualifications: the officer(s) have a good knowledge of OTC, leveraged derivatives because of a relevant professional qualification and/or education

### Optional:

4. Please provide us with any further information about the Company's knowledge and experience that will help us assess whether our services are appropriate for the Company:

---

---

## RISK DISCLOSURE

CFDs are complex financial instruments which come with a high risk of losing money rapidly due to leverage, based on the fluctuations of the price of the underlying asset.

During the last twelve months, **68%** of Retail investor accounts made a loss when trading CFDs.

Before deciding to trade CFDs, you should consider whether you understand how CFDs work, and whether you can afford to take the high risk of losing your money.

I understand the risk.

 Signature: \_\_\_\_\_ Date: \_\_\_\_\_

IN THE CASE OF A COMPANY TWO AUTHORIZED DIRECTORS MUST SIGN IN THE CASE OF A PARTNERSHIP TWO OF THE PARTNERS MUST SIGN

## DECLARATIONS

I hereby confirm that I am appointed as the Company's duly authorised signatory to execute this application form and to make the declarations below, for and on behalf of the Company.

I, for and on behalf of the Company, hereby confirm that I have received, read and understood the following:

- the terms of the Margin Trading Customer Agreement and/or the Stock Trading Customer Agreement (as applicable);
- the terms of the Risk Disclosure Notice which sets out the nature and risks associated with trading CFDs and/or investing in shares, exchange-traded funds, exchange-traded commodities and other securities (as applicable); and
- the Summary Order Execution Policy, the Conflict Policy, the Complaints Procedure and the Privacy Notice and Access Policy.

I, for and on behalf of the Company, hereby agree the above-mentioned documents are provided on the IG Limited website.

I, for and on behalf of the Company, hereby confirm that the Company agrees to be bound by the terms of the above-mentioned documents in its dealings with IG Limited.

I, for and on behalf of the Company, hereby undertake to notify IG Limited immediately if there is any change to the details provided herein, including, but not limited to, any change in beneficial ownership.

Name of Director/partner: \_\_\_\_\_

Signature: \_\_\_\_\_ Date: \_\_\_\_\_

Name of Director/partner: \_\_\_\_\_

Signature: \_\_\_\_\_ Date: \_\_\_\_\_

## DEED OF GUARANTEE AND INDEMNITY IN FAVOUR OF IG

### GUARANTEE IN FAVOUR OF

IG Limited, Market Data Limited and any other subsidiary\* of the IG Group of which IG Group Holdings plc of Cannon Bridge House, 25 Dowgate Hill, London EC4R 2YA is the holding Company\* ('IG').

\*Such words have the same meaning as are given to those terms in the Companies Act 2006.

### GUARANTEE FROM

Name of Guarantor: \_\_\_\_\_ (the '**Guarantor**')

Address of Guarantor: \_\_\_\_\_

\_\_\_\_\_  
Postcode: \_\_\_\_\_

### BACKGROUND

(a) \_\_\_\_\_ (name of Company)

(the '**Customer**') has opened an account or accounts with IG (the '**Account(s)**') in order to enter into any or all of: (1) contracts for differences; (2) futures and options; (3) binaries; (4) buying, selling and investing in shares, Exchange Traded Funds and Exchange Traded Commodities or (5) any other transaction (each called '**Transactions**') pursuant to the terms and conditions of the relevant IG Customer Agreements (the '**Customer Agreements**').

(b) When the Customer enters into such Transactions it will incur liabilities and obligations to IG pursuant to the terms of the Customer Agreements.

(c) IG has agreed to allow the Customer to open the Account(s) on the condition that the Guarantor agrees to guarantee the due performance of the Customer's obligations arising under the Customer Agreements and the due payment of any amounts owed to IG by the Customer in accordance with the terms of this deed.

## GUARANTEE AND INDEMNITY

1. The Guarantor guarantees the due and prompt payment of all monies and liabilities that become due and payable by the Customer under the Customer Agreements and the due and punctual performance and observance of all other obligations of the Customer under the Customer Agreements. If the Customer fails, for any reason, to pay any amount guaranteed above when due, the Guarantor shall, as principal obligor, pay that amount to IG on receiving its first written demand.

2. The Guarantor further agrees to indemnify IG and keep indemnified IG against all loss and damage incurred by IG by reason of the failure of the Customer to fulfil all its obligations under the Customer Agreements and to indemnify IG immediately on demand against any cost, loss or liability suffered by it if any Customer obligation is or becomes unenforceable, invalid or illegal.

3. A certificate in writing signed by IG or on IG's behalf stating the amount at any particular time due and payable by the Guarantor hereunder shall be conclusive evidence of such payment obligation, save in the case of manifest error by IG.

4. The Guarantor's liability under this deed will only be discharged by the receipt by IG of payment of monies owed under this deed in full. The Guarantor's liability will not be discharged by any other action, omission or fact and therefore shall not be affected by:

4.1 IG giving time or any other indulgence or forbearance to the Customer;

4.2. the winding-up or re-organisation of the Customer, or the death of the Customer (as applicable);

4.3. if applicable, the Customer acting or purporting to act beyond the power conferred upon it by its Constitution or Memorandum and Articles of Association (as applicable) or without the power or authority of its Board of Directors or otherwise by reason of any want of power to enter into any Transaction with IG; or

4.4. any amendment from time to time to the Customer Agreements according to their terms.

5. Any receipt by IG of monies owed by the Customer from any person other than the Guarantor will reduce the outstanding balance only to the extent of the amount received.

6. Before enforcing this deed, IG shall not be obliged to take any action or obtain any judgment, nor make or file any claim in the bankruptcy, dissolution or winding up of the Customer.

7. The Guarantor agrees that in any litigation relating to this deed, the

aforsaid obligations or any security therefor, the Guarantor waives:

7.1. the right to interpose any defence based upon any statute of limitations; and/or

7.2. any claim of laches or set-off or counter-claim of any nature; and/or

7.3. any rights of subrogation and indemnity against the Customer.

8. The Guarantor represents and warrants that:

8.1. it has read and understood the terms of this deed; and

8.2. it has the power to exercise its rights and perform its obligations under this deed and agrees to be bound by it; and

8.3. this deed has been duly signed and delivered by the Guarantor; and

8.4. its obligations described in this deed are legal, valid and binding obligations in accordance with their terms.

9. No warranty or representation (whether oral or otherwise) has been made by or on behalf of IG to induce the Guarantor to enter this deed and the Guarantor has made and will continue to make, without reliance on IG, independent investigation of the financial condition and affairs of the Customer and assessment of the creditworthiness of the Customer. The Guarantor further acknowledges that IG has no present or future duty or responsibility to provide the Guarantor with any information relating to the financial condition or affairs of the Customer.

10. No failure or delay by IG in exercising any right or remedy shall operate as a waiver thereof nor shall any single or partial exercise of waiver of any right or remedy produce its further exercise or the exercise of any other right or remedy.

11. Each of the provisions of this deed is severable and distinct from the others and, if at any time, one or more of such provisions is or becomes invalid, illegal or unenforceable, the validity, legality and enforceability of the remaining provisions hereof shall not in any way be affected or impaired hereby.

12. The Guarantor agrees to provide IG with such information and copies of such documents as are reasonably requested by IG for the purposes of IG determining whether to enter into, or to continue to rely on, this deed including, without limitation, evidence showing the Guarantor does in fact have sufficient funds with which to guarantee the obligations of the Customer under this deed.

## GOVERNING JURISDICTION

This deed shall be construed and interpreted according to the laws of the DIFC, and the courts of the DIFC shall have exclusive jurisdiction to hear and determine any dispute arising out of the present deed except that IG also has the right to pursue its rights under this deed in any other jurisdiction.

Where the Guarantor is an individual:

**THE GUARANTOR**

This deed is signed by the Guarantor:

Name: \_\_\_\_\_

 Signature: \_\_\_\_\_ Date: \_\_\_\_\_

**IN THE PRESENCE OF:**

Name of witness: \_\_\_\_\_

Address: \_\_\_\_\_

\_\_\_\_\_ Postcode: \_\_\_\_\_

 Signature: \_\_\_\_\_ Date: \_\_\_\_\_

Or where the Guarantor is a company, in compliance with its constitutional documents:

**(I) SIGNED BY THE DIRECTOR**

This deed is signed by the Director:

Name: \_\_\_\_\_

 Signature: \_\_\_\_\_ Date: \_\_\_\_\_

**IN THE PRESENCE OF:**

Name of witness: \_\_\_\_\_

Address: \_\_\_\_\_

\_\_\_\_\_ Postcode: \_\_\_\_\_

 Signature: \_\_\_\_\_ Date: \_\_\_\_\_

**(I) SIGNED BY THE DIRECTOR/COMPANY SECRETARY**

This deed is signed by the Director/Company Secretary:

Name: \_\_\_\_\_

 Signature: \_\_\_\_\_ Date: \_\_\_\_\_

**IN THE PRESENCE OF:**

Name of witness: \_\_\_\_\_

Address: \_\_\_\_\_

\_\_\_\_\_ Postcode: \_\_\_\_\_

 Signature: \_\_\_\_\_ Date: \_\_\_\_\_

## CERTIFIED BOARD RESOLUTION

I (name): \_\_\_\_\_

Company Secretary/Director of (Name of Company): \_\_\_\_\_ (the '**Company**')

certify that the following resolutions were duly passed by the Directors of the Company at a meeting held on

Date: \_\_\_\_\_

### IT WAS RESOLVED AS FOLLOWS:

1. That the following account(s) (the '**Account(s)**') be opened with IG Limited ('**IG**') in the name of the Company for the purpose of entering into Contracts For Differences (CFDs) and/or (b) buying, selling and investing in shares, Exchange Traded Funds, Exchange Traded Commodities and any other securities; and (c) any transactions related or ancillary to any of the aforementioned..
2. That customer agreements be entered into with IG in connection with the opening of the Accounts in such form as IG shall require (the '**Agreements**') and that all transactions entered into by the Company will be subject to the terms of the Agreements as amended from time to time.
3. That each of the persons whose names and specimen signatures appear in the attached authorised signatories list (the '**Authorised Signatories**') shall be and are hereby jointly and severally authorised to sign any document in connection with the opening or operation of the Account(s), including (but without limitation) the Agreements and any document creating, perfecting or relating to any mortgage, charge or encumbrance over the Company's assets and to give any oral or written instructions to IG with respect to the Account(s) including (but without limitation) instructions to effect or otherwise enter into transactions with or on behalf of the Company.
4. That any transactions of any description whatsoever previously entered into by the Company with or through IG be and are hereby ratified and approved.
5. That these Resolutions be communicated to IG and shall remain in force and that IG shall be entitled to rely on the same until an amending resolution shall be passed and a copy certified by an officer of the Company shall have been received by IG.
6. That the Authorised Signatories shall be and are hereby jointly and severally authorised to open (including to sign any document in connection with the opening or operation) of any additional account(s) with IG on behalf of the Company.

I further certify that there is no legal or other reason why the Company should not conduct this business.

 Signature of Company Secretary/Director: \_\_\_\_\_ Date: \_\_\_\_\_

## WHAT TO DO NEXT

### 1. Please check:

- you have fully completed all sections of the application form
- all the appropriate people have signed the form and the authorised signatory list in the schedule
- you have enclosed the required proof of identity, and copy of an authorised signatory list

If you have any questions, please call us on +971 (0) 4 559 2000 or email: [sales.ae@ig.com](mailto:sales.ae@ig.com)

### 2. Return your application to:

IG Limited Level 27,  
Tower 2, Al Fattan  
Currency House,  
DIFC,  
Dubai, UAE  
or email: [sales.ae@ig.com](mailto:sales.ae@ig.com)



Once you have completed your details, please:

- Print this form
- Sign it (in the presence of an independent witness if you are an individual)
- Return it to us

# SCHEDULE

## AUTHORISED PERSONS LIST:

Authorised Signatories/persons for \_\_\_\_\_ (name of Company)

Authorised signatories/persons of the Company as of the date of the Certified Board Resolution.

Please note that each authorised signatory for the purpose of the below list must be a director, partner, company secretary or employee of the Company. Any other person will need to apply for Power of Attorney over the account.

NAME	Capacity/Title	Date of birth (DD/MM/YYYY)	Residential Address	Signature	Authorized Signatories <sup>1</sup>	Authorized Traders <sup>2</sup>	Employee
					<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
					<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
					<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
					<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
					<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
					<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
					<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
					<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
					<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
					<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
					<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
					<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
					<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
					<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
					<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
					<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
					<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
					<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
					<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
					<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
					<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

<sup>1</sup>Authorised signatories have full power of attorney over the account, which consist of, but not limited to; placing trades on the account, requesting payments and withdrawals to and from the account alongside access to general account information.

<sup>2</sup>Authorised traders can receive general information regarding the account and place trades on the account. The authorised trader cannot make payments and cannot change any details relating to the account.

Name of Director/partner: \_\_\_\_\_

 Signature: \_\_\_\_\_ Date: \_\_\_\_\_

Name of Director/partner: \_\_\_\_\_

 Signature: \_\_\_\_\_ Date: \_\_\_\_\_